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# Multichannel Sense-and-Avoid Radar for Small UAVs

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NASA Aeronautics Research Institute

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## Researchers

Dr. Christopher Allen (PI) – Electrical Engineering Professor at KU  
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## On-campus technical support

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# Outline

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- The innovation
  - Problem statement / Solution requirements
- Technical approach
  - System overview / Theory / Implementation / Performance
- Impact of the innovation if it is eventually implemented
- Results of the LEARN Phase I effort to date
  - Accomplishments / Findings / Schedule status
- Distribution/Dissemination—getting the word out
  - 32<sup>nd</sup> DASC (Digital Avionics Systems Conference) IEEE & AIAA
- Next steps



# The innovation

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- **Problem statement**

Small unmanned aerial vehicles (UAVs) may have a bright future in the commercial and industrial service sector.

- Pipeline surveillance, agricultural surveys, road traffic monitoring

Unacceptable risks result from the UAV's of lack situation awareness.

- Hazard to both ground-based and airborne assets.
- August 17, 2011 collision between U.S. Air Force C-130 cargo plane and an RQ-7 Shadow UAV over Afghanistan.



Integration into the NAS requires compatibility with existing systems (e.g., transponder-based collision avoidance) as well as avoidance of non-cooperative objects (e.g., towers, balloons).



# The innovation

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- **Solution requirements**

Using programmable radar-ready integrated circuits, provide small UAVs with situation awareness by remotely sensing nearby objects and reporting their positions and closing rates to on-board guidance system.

## **Platform – 40%-scale Yak-54 RC aircraft**

### **Key specifications**

wingspan 3.1 m; length 3.1 m; payload 4 kg;  
empty weight 18.1 kg ; cruise speed 36 m/s

## **Sensor requirements (from AE analysis\*)**

### **Key requirements**

detection range 300 to 800 m;  
range accuracy 10 m; range-rate resolution 1 m/s;  
Doppler accuracy 10 Hz; update rate 10 Hz;  
field of view 360° in azimuth,  $\pm 15^\circ$  in elevation; angular accuracy 3°

\* Stastny TJ; Garcia G; Keshmiri S; “Collision and Obstacle Avoidance in Unmanned Aerial Systems Using Morphing Potential Field Navigation and Nonlinear Model Predictive Control,” *ASME Journal of Dynamic Systems, Measurement and Control*, Under Review, July 2013.





# Technical approach

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- **System overview**

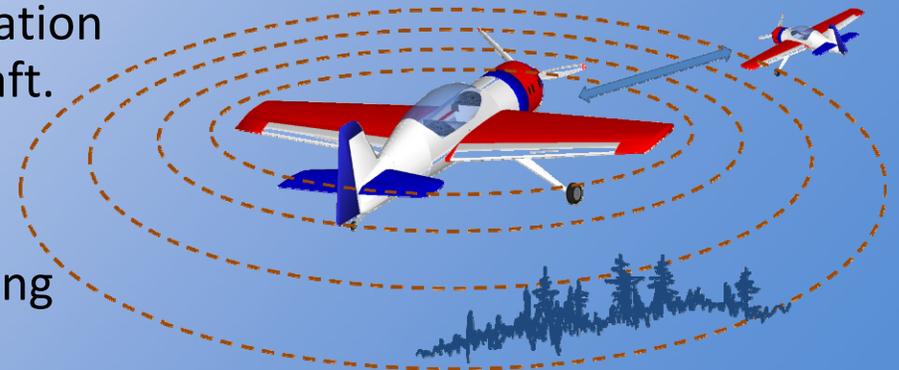
- Microwave radar provides all-weather, day/night detection and ranging capability of non-cooperative objects.
- Frequency-modulated continuous-wave (FMCW) operation reduces transmit power requirements for measurement of range and radial velocities (via Doppler processing).
- Multichannel system enables relative position knowledge in 3-D.

## Phase I scope

Proof-of-concept demonstration aboard Cessna-172 for performance evaluation using 40% Yak-54 as intruder aircraft.

## Phase II scope

Miniaturized version for flight testing on 40% Yak-54.



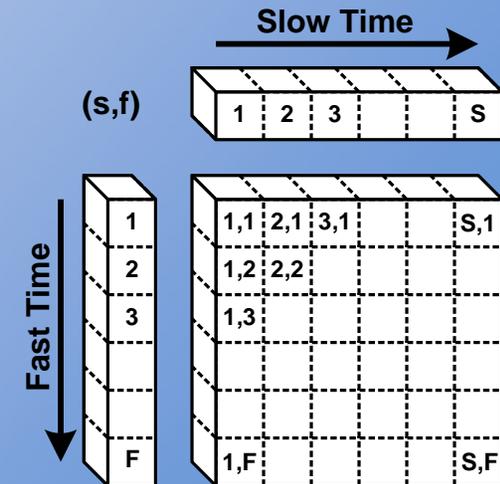
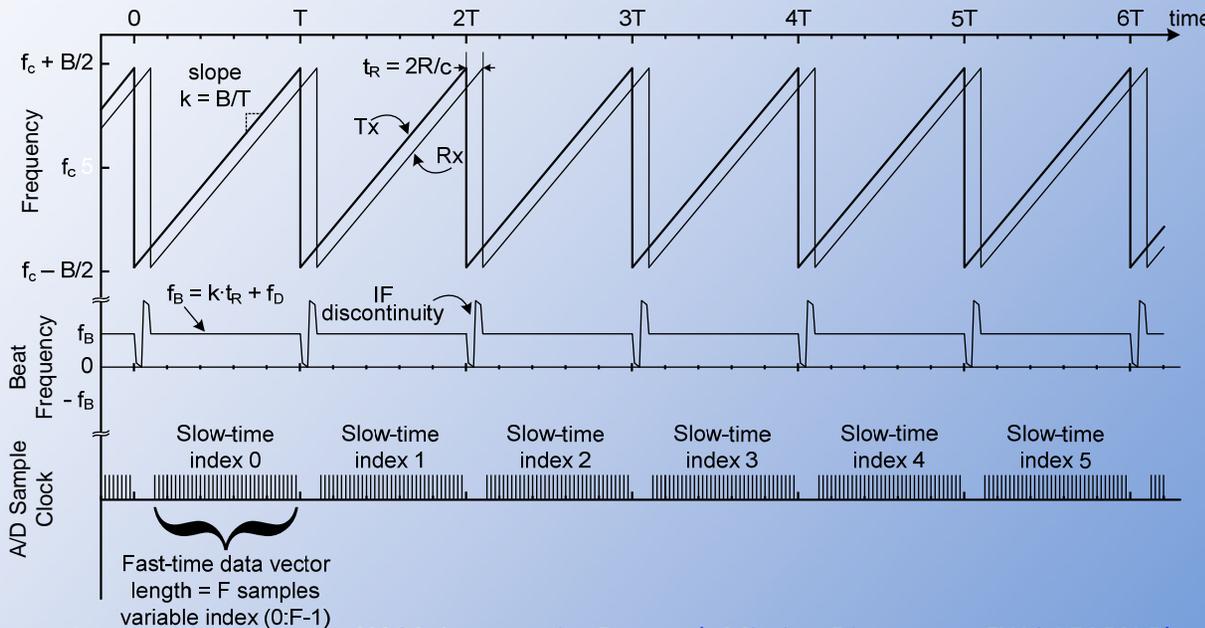
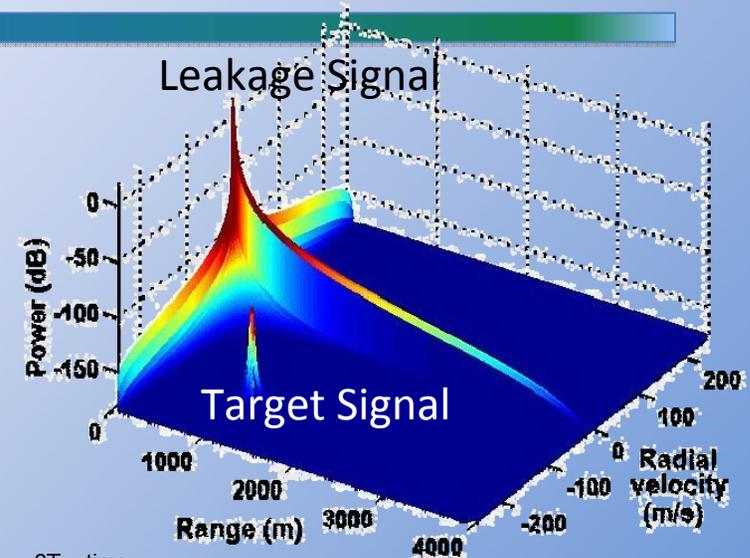


# Technical approach

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- Theory**

To overcome the challenge of strong Tx-Rx coupling, signals from targets near zero in both range and Doppler are ignored to permit reliable target detection. Signal processing involves 2-D fast Fourier transform (2-D FFT).

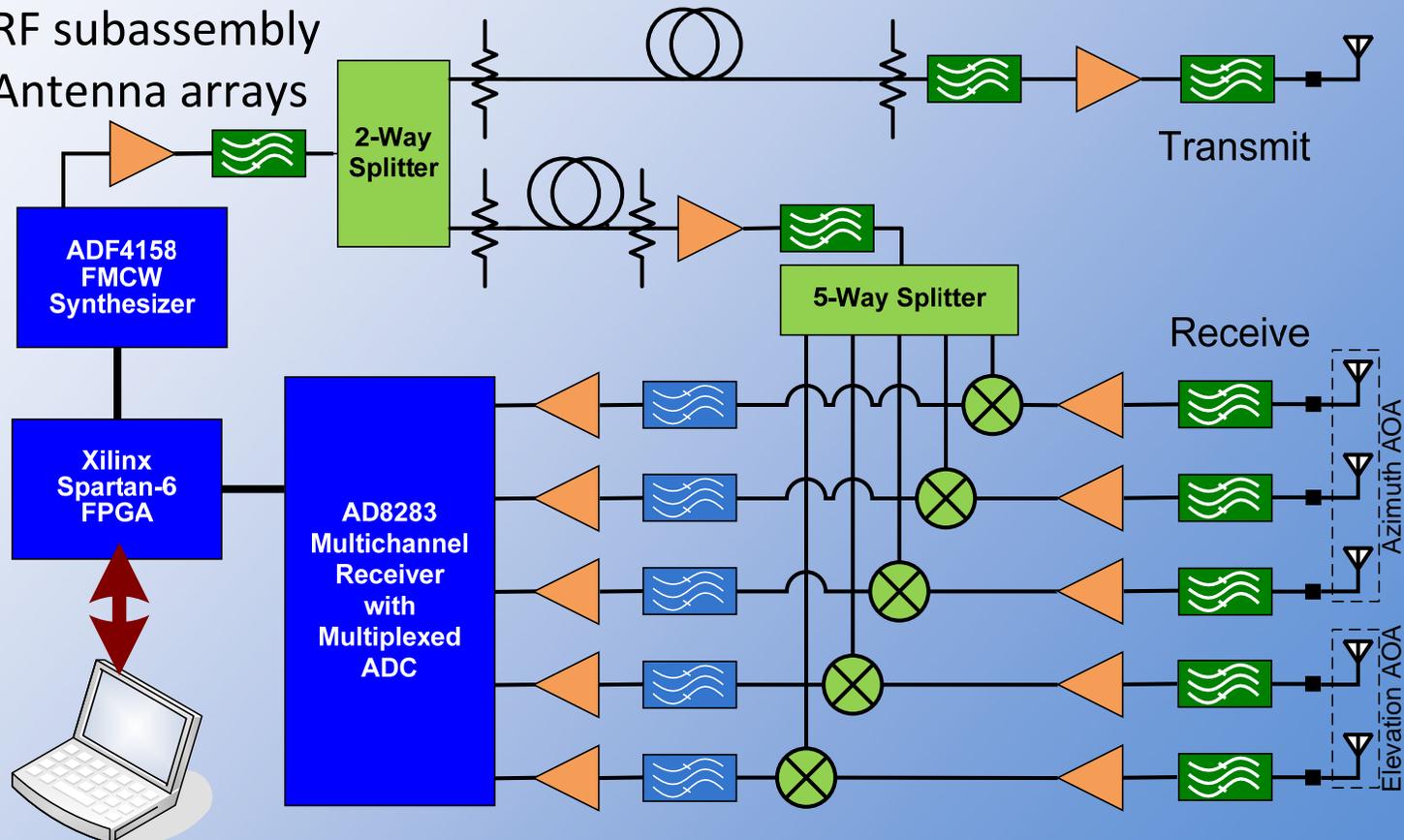




# Technical approach

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- Implementation – radar block diagram
  - Radar-ready ICs: FMCW synthesizer & multichannel ADC
  - FPGA
  - RF subassembly
  - Antenna arrays





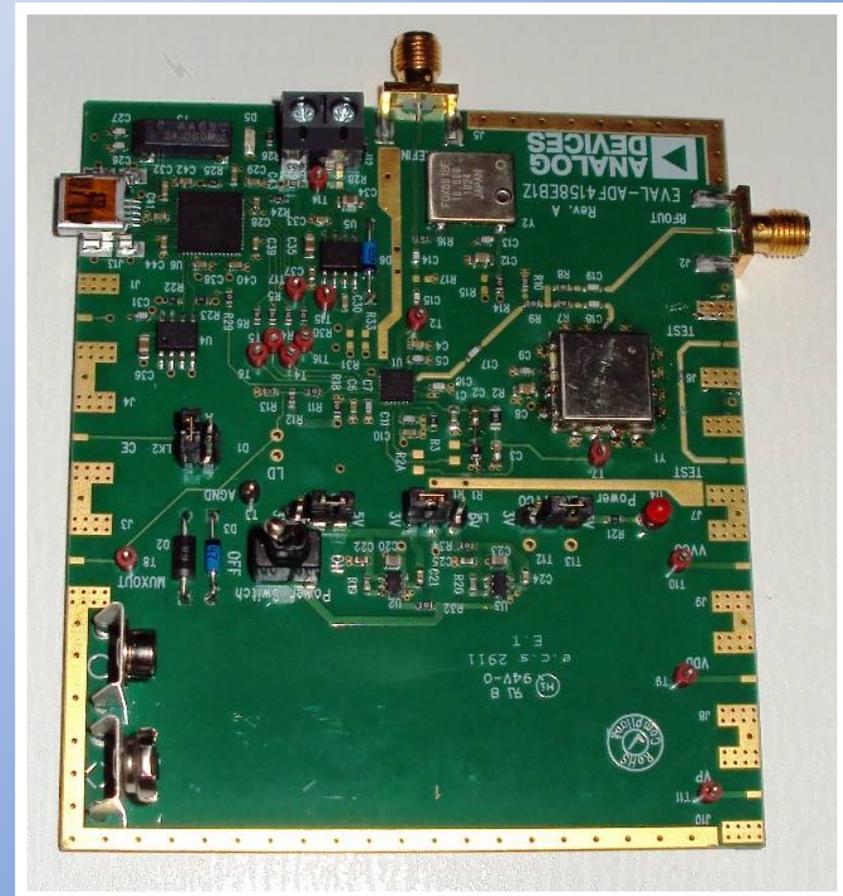
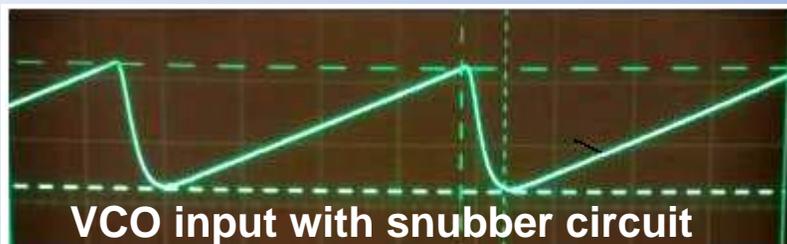
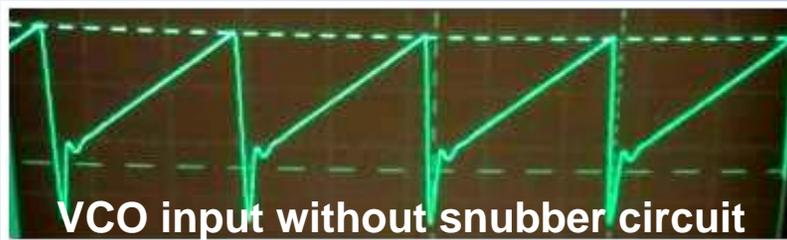
# Technical approach

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- Implementation – radar-ready subsystems  
FMCW synthesizer

## Analog Devices ADF4158

- FMCW signal generation
- Center frequency: 1.445 GHz
- Bandwidth: 15 MHz
- Modified evaluation board





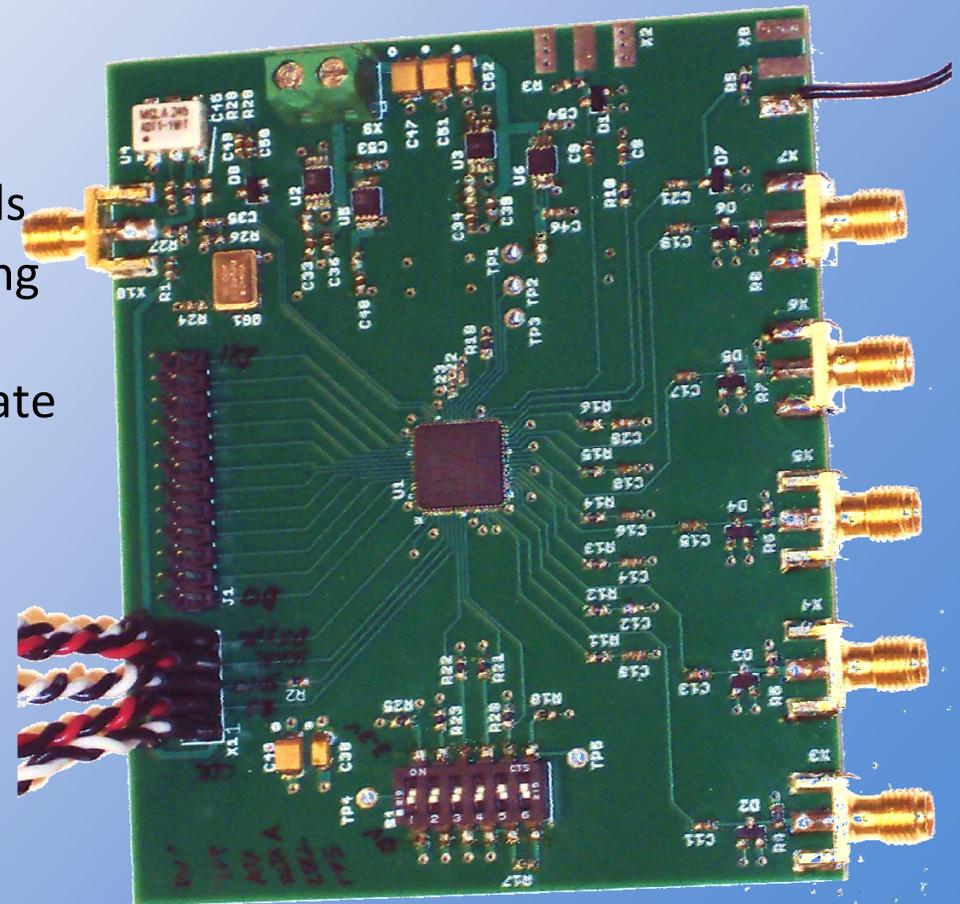
# Technical approach

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- Implementation – radar-ready subsystems  
multichannel ADC with analog preprocessing

## Analog Devices AD8283

- Custom PC board developed
- Up to 6 multiplexed analog channels
- Integrated analog signal conditioning via programmable LNA, PGA, AAF
- Operated at 4 MSa/s per channel rate





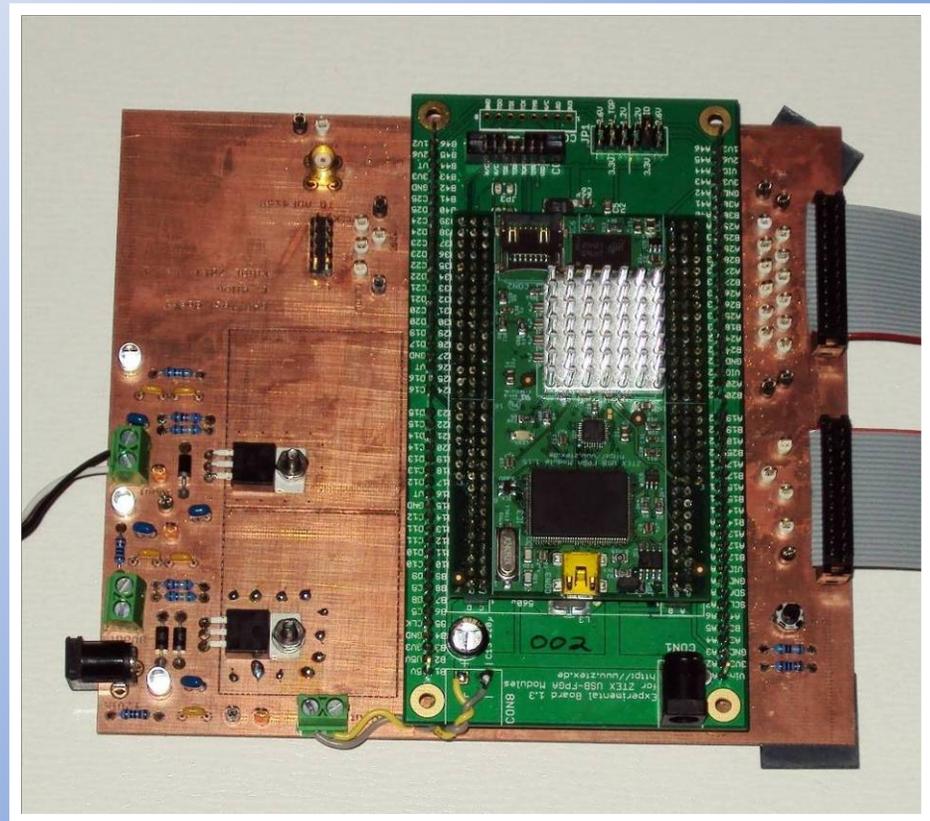
# Technical approach

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- Implementation – FPGA  
field-programmable gate array

## Xilinx Spartan 6

- Data windowing
- Radar timing and synchronization
- 1-D FFT (eventually 2-D FFT)
- Target detection
- Client communication





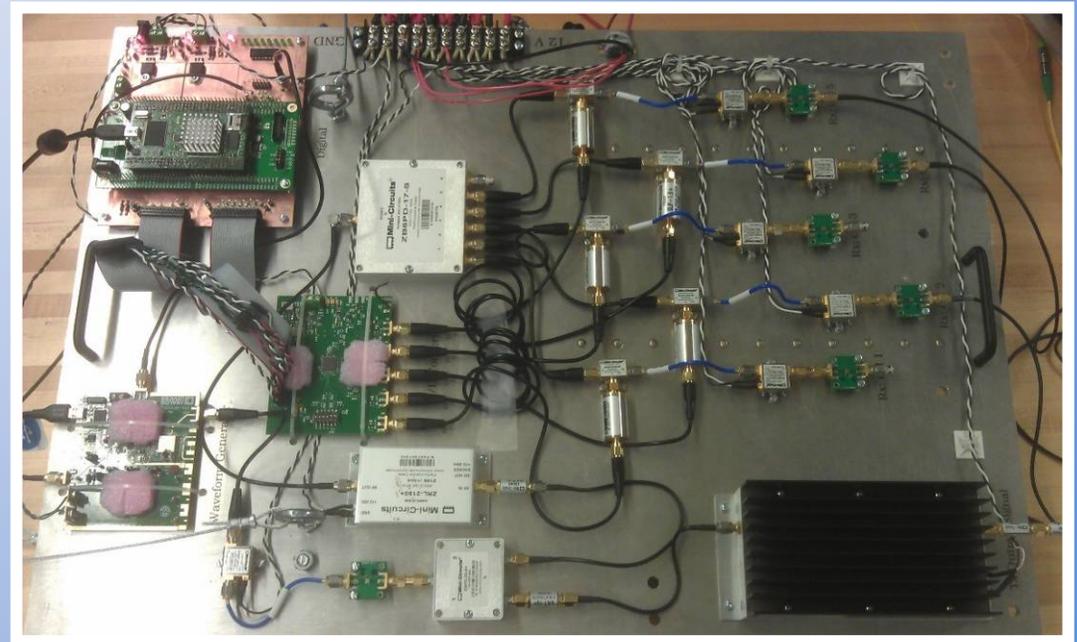
# Technical approach

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- Implementation – RF subassembly

## RF subassembly

- One transmit channel
- Transmit power  $\sim 0.5$  W
- Five receive channels
- Receiver noise figure 3.5 dB
- Receiver gain 64 dB





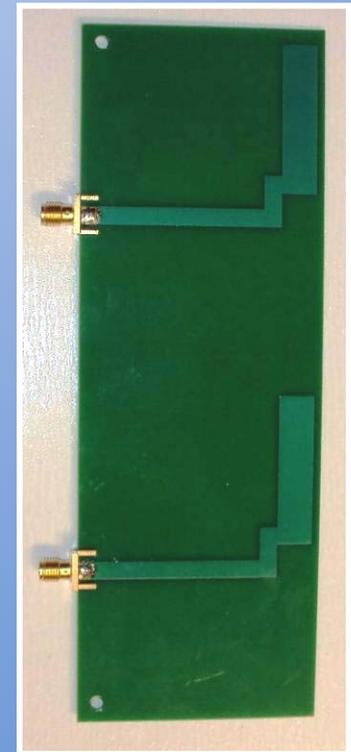
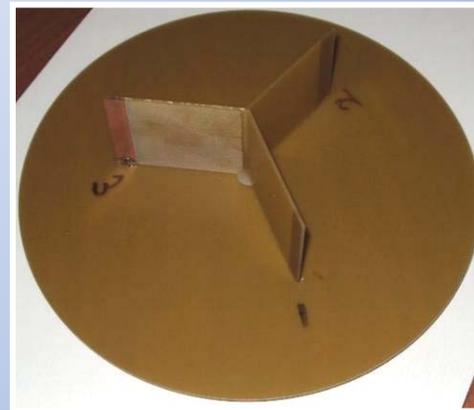
# Technical approach

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- Implementation – antenna arrays

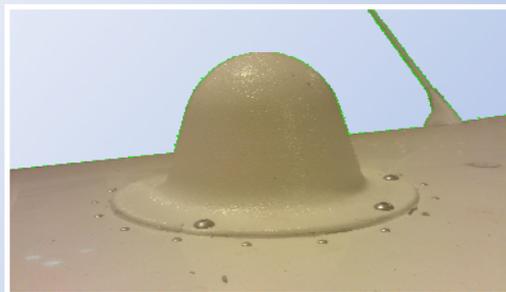
- Two receive antenna arrays

- Custom designs
    - 3-element monopole for azimuth
    - 2-element dipole for elevation



- One COTS transmit antenna

- Pasternack PE51057





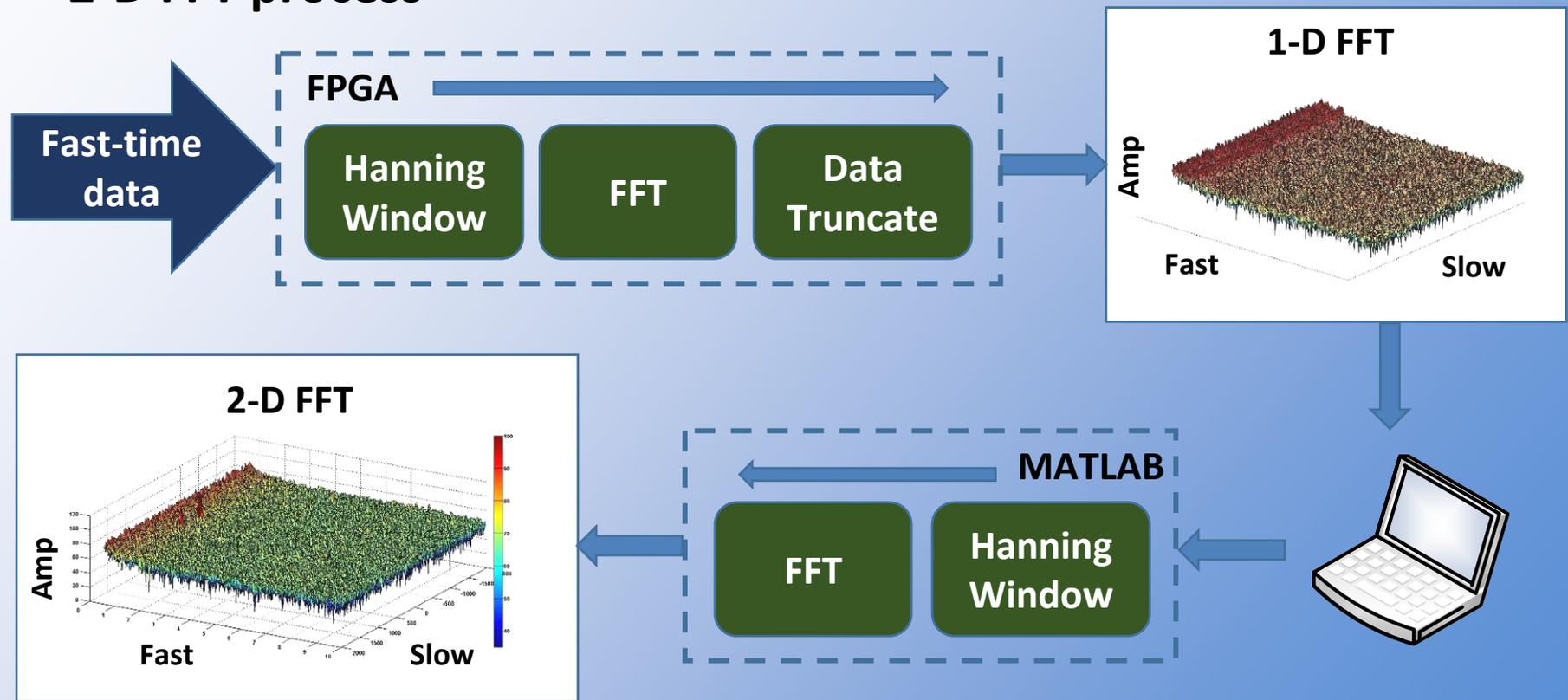


# Technical approach

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- Performance – FPGA processing description

## 2-D FFT process





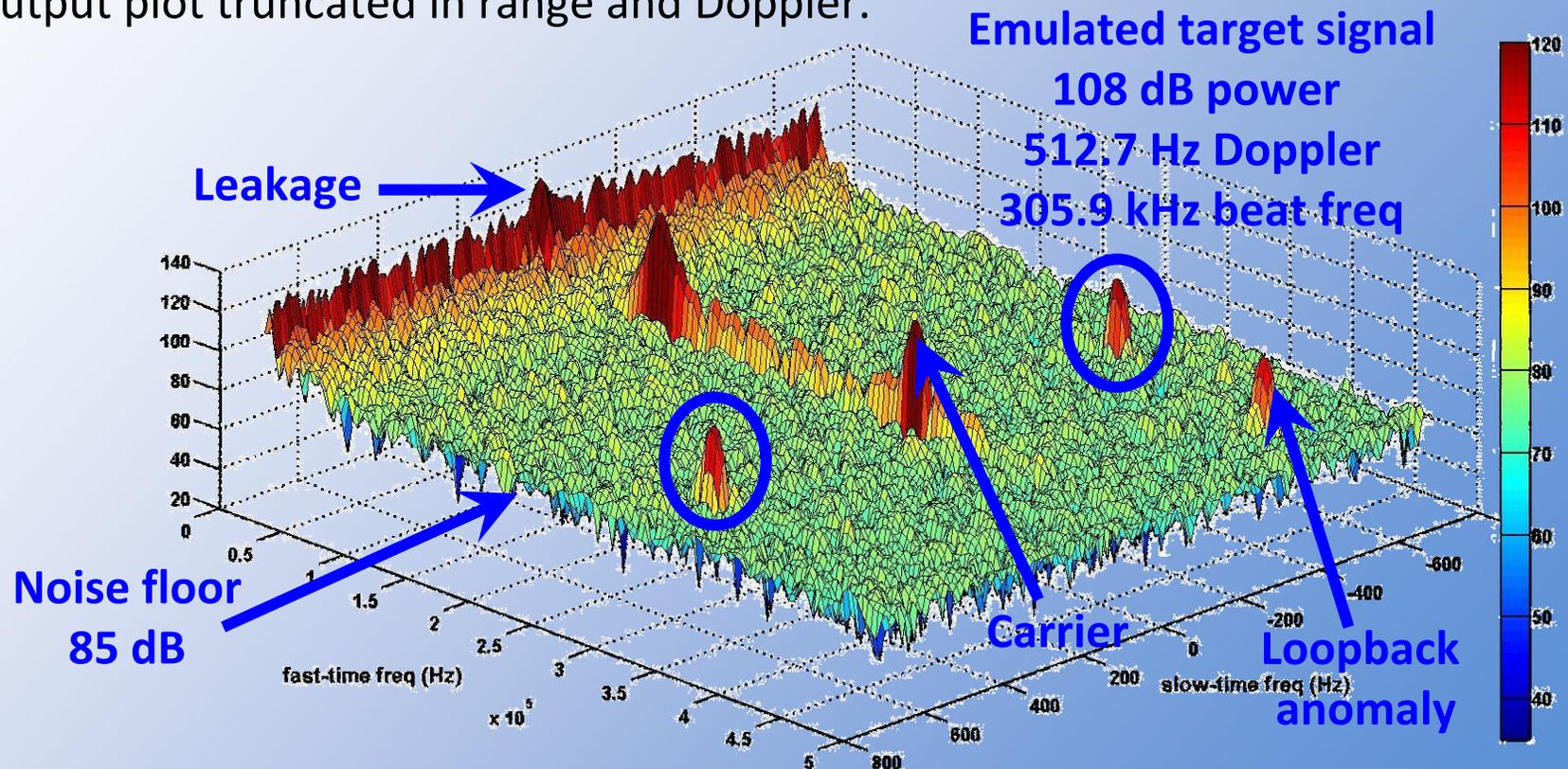
# Technical approach

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- Performance – FPGA processing example results

## 3-D graphic output

Loopback setup with leakage using 800-m fiber delay line (584 m range)  
Input signal power -112 dBm, 500-Hz double-sideband modulation,  
output plot truncated in range and Doppler.





# Technical approach

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- Performance – FPGA processing example results  
analysis of output

For the case shown, the following can be determined.

Predicted beat frequency = 305.6 kHz

Measured beat frequency = 305.9 kHz

**Emulated target range of 584 m validated**

Received signal power = -112 dBm

2-D FFT signal power = 108 dB; Noise power = 85 dB

Signal-to-noise ratio (SNR) = 23 dB

If a 10-dB SNR is required for target detection,  
then the minimum detectable signal is **-125 dBm**

Theory says **-125 dBm** corresponds to the signal power received from a target  
with an RCS of **1 m<sup>2</sup>** at a range of **430 m**

A target with a larger RCS would be detectable at a greater range.



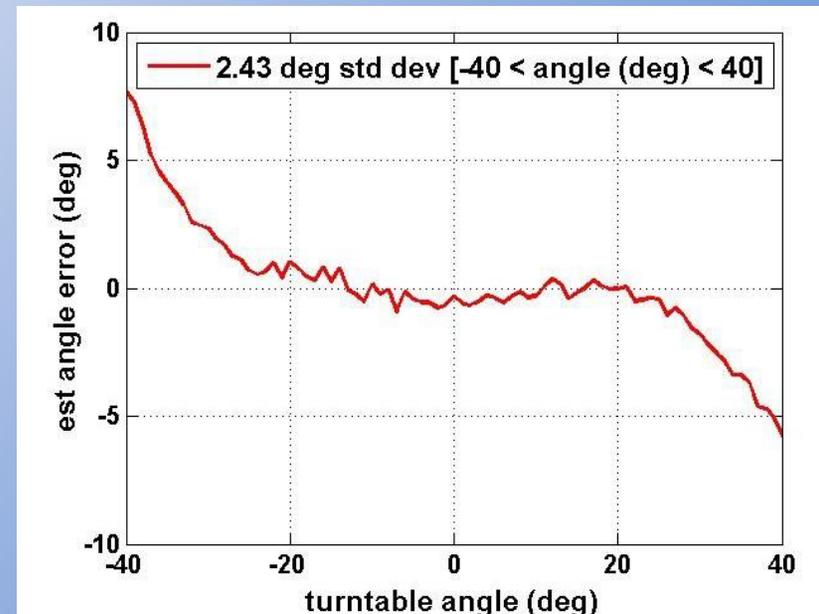
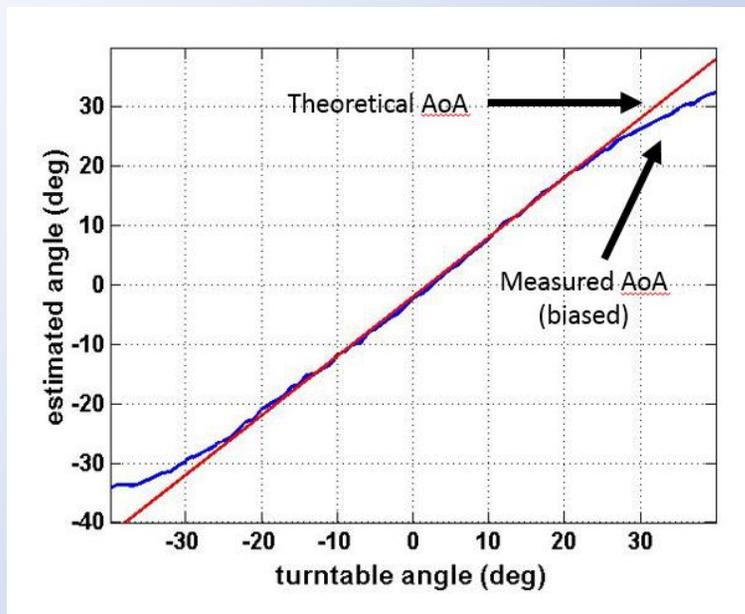
# Technical approach

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- Performance – antenna array analysis  
measured and theory

Inversion of signals from antenna array into angle-of-arrival experimentally verified from data measured in anechoic chamber.

**Results confirm inversion algorithm.**





# Impact of the innovation if it is eventually implemented

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- Sensor represents technology “building block” for assessing the capabilities and limitations of this class of sense-and-avoid radar – a critical step for UAS integration into the National Airspace System.
- With a flight director, this sensor will permit UAS researchers to focus on mission-specific goals (e.g., optimizing flight-control models for the autopilot) when conducting flight tests.



# LEARN Phase I results (to date)

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## Accomplishments

- Development of ADC board, characterization, integration into radar system.
- Antenna development, characterization, integration into Cessna aircraft.
- FPGA coding for implementing real-time signal conditioning and FFT for flight tests.  
Ongoing work to implement 2-D FFT in FPGA alone (no external computer involved).



# LEARN Phase I results (to date)

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## Findings

- Lab testing confirms simulations results.
- Techniques for accommodating significant Tx-to-Rx leakage have been successfully demonstrated.
- Execution speed of FPGA code has been shown to be compatible with the real-time processing requirements.
- Investigation shows system can be realized with as few as 3 (maybe 4) receive antennas (vs. 5 in demo system).
- Analysis of angular rate of change shows that only two receive signals need to be processed simultaneously to satisfy unambiguous az. and elev. angles to target (vs. 5 in current demo).



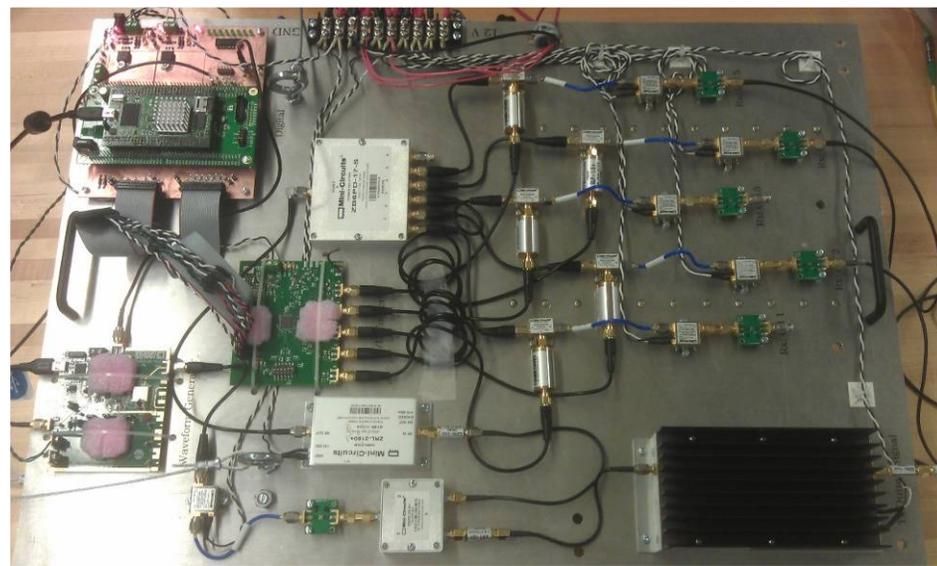
# LEARN Phase I results (to date)

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## Schedule status

While all benchmarks have been met, the project is behind schedule.

Flight tests were scheduled to begin in Q3  
are now beginning in Q4.

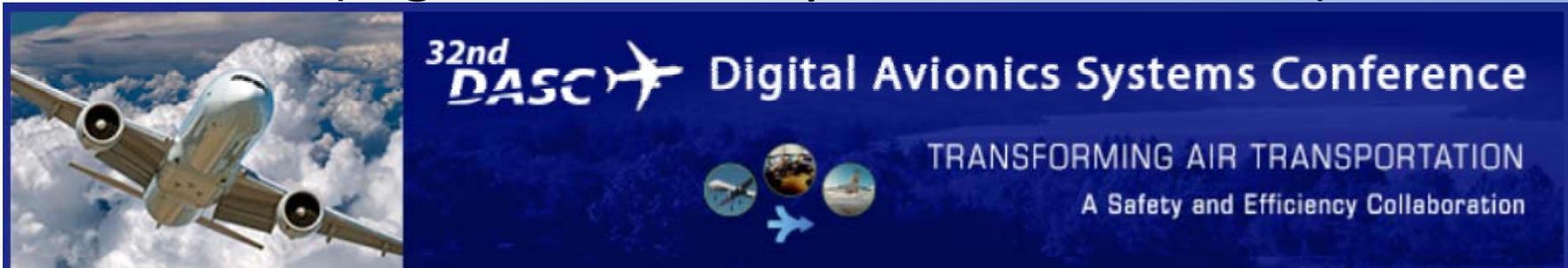




# Distribution/Dissemination

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## 32<sup>nd</sup> DASC (Digital Avionics Systems Conference)



Syracuse, NY, October 6-10, 2013

Conference sponsors include:



Our paper (*Multichannel sense-and-avoid radar for small UAVs*)  
was awarded **best in session** and  
placed **3<sup>rd</sup> place overall** in the graduate paper competition



# Next steps

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- From the challenges overcome and discoveries made in Phase I a clearer vision for Phase II is emerging.
- RF subsystem miniaturization requires a custom printed-circuit assembly.
- Reduction of the Tx-to-Rx leakage on the UAV requires a custom antenna design and analysis.
- Migration to a higher microwave frequency may be required to yield a physically smaller antenna system to meet the UAV's size constraints.
- Close coordination between the radar and the flight director is required henceforth.