



NASA Aeronautics Research Institute

Multichannel Sense-and-Avoid Radar for Small UAVs

Christopher Allen, Professor
Dept. of Electrical Engineering and Computer Science (EECS)
The University of Kansas

NASA Aeronautics Research Mission Directorate (ARMD)

LEARN Technical Seminar

August 16, 2016



Acknowledgements

NASA Aeronautics Research Institute

Funding sources

NASA – Leading Edge Aeronautical Research for NASA (LEARN), University of Kansas

Researchers

Dr. Christopher Allen (PI) – Electrical Engineering Professor at KU

Dr. Shahriar Keshmiri – Aerospace Engineering Professor at KU

Dr. Heechul Yun – Computer Science Professor at KU

Dr. Guanghui (Richard) Wang – Electrical Engineering Professor at KU

Graduate students

EE: Yuanwei Wu

CS: Farzad Farshchi, Elise McElhiney, Prasanth Vivekanandan

AE: TJ Barclay, Aaron Blevins

On-campus technical support

UAVradars LLC: Dr. Lei Shi

Instrumentation Design Lab (IDL): Dr. Ken Ratzlaff, Robert Young

Off-campus technical support

KalScott Engineering Inc.: Suman Saripalli



Outline

NASA Aeronautics Research Institute

- The innovation
 - Problem statement / Solution requirements / Implementation
- Technical approach
 - System overview – Radar / Vision / Flight Director & Autopilot
 - Radar – Technical approach / Status
 - Vision – Technical approach / Status
 - Flight Director & Autopilot – Technical approach / Status
 - Flight Testing – Platforms / Status
- Status of the LEARN effort to date
 - Accomplishments / Schedule status
- Next steps



The innovation

NASA Aeronautics Research Institute

- **Problem statement**

Small unmanned aerial vehicles (sUAVs) may have a bright future in the commercial and industrial service sector.

- Pipeline surveillance, agricultural surveys, road traffic monitoring

Unacceptable risks result from the UAV's of lack situation awareness.

- Hazard to both ground-based and airborne assets.
- August 17, 2011 collision between U.S. Air Force C-130 cargo plane and an RQ-7 Shadow UAV over Afghanistan.



Integration into the NAS requires compatibility with existing systems (e.g., transponder-based collision avoidance) as well as avoidance of non-cooperative objects (e.g., towers, balloons).



The innovation

NASA Aeronautics Research Institute

- **Solution requirements**

Using programmable radar-ready integrated circuits, provide small UAVs with situation awareness by remotely sensing nearby objects and reporting their positions and closing rates to on-board guidance system.

Platform – 40%-scale Yak-54 RC aircraft

Key specifications

wingspan 3.1 m; length 3.1 m; payload 4 kg;
empty weight 18.1 kg ; cruise speed 36 m/s

Sensor requirements (from AE analysis*)

Key requirements

detection range 300 to 800 m;
range accuracy 10 m; range-rate resolution 1 m/s;
Doppler accuracy 10 Hz; update rate 10 Hz;
field of view 360° in azimuth, $\pm 15^\circ$ in elevation; angular accuracy 3°



* Stastny TJ; Garcia G; Keshmiri S; “Collision and Obstacle Avoidance in Unmanned Aerial Systems Using Morphing Potential Field Navigation and Nonlinear Model Predictive Control,” *ASME Journal of Dynamic Systems, Measurement and Control*, Under Review, July 2013.



The innovation

NASA Aeronautics Research Institute

- **Implementation**

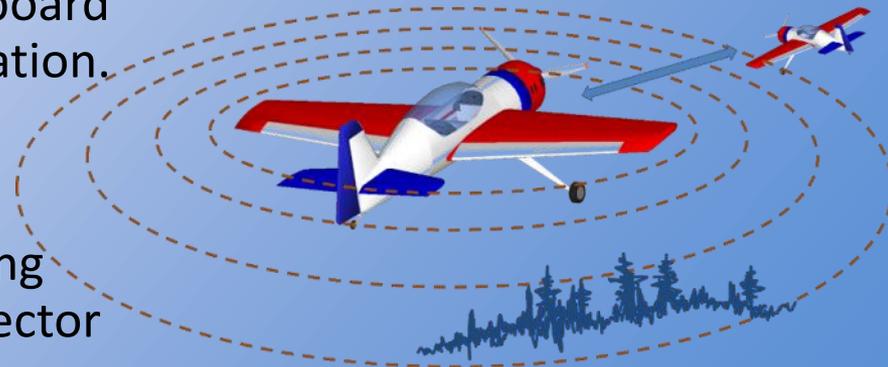
- Microwave radar provides all-weather, day/night detection and ranging capability of non-cooperative objects.
- Frequency-modulated continuous-wave (FMCW) operation reduces transmit power requirements for measurement of range and radial velocities (via Doppler processing).
- Multichannel system enables relative position knowledge in 3-D.

Phase I scope

Proof-of-concept demonstration aboard Cessna-172 for performance evaluation.

Phase II scope

Miniaturized version for flight testing integrated with autopilot/flight director and vision system.





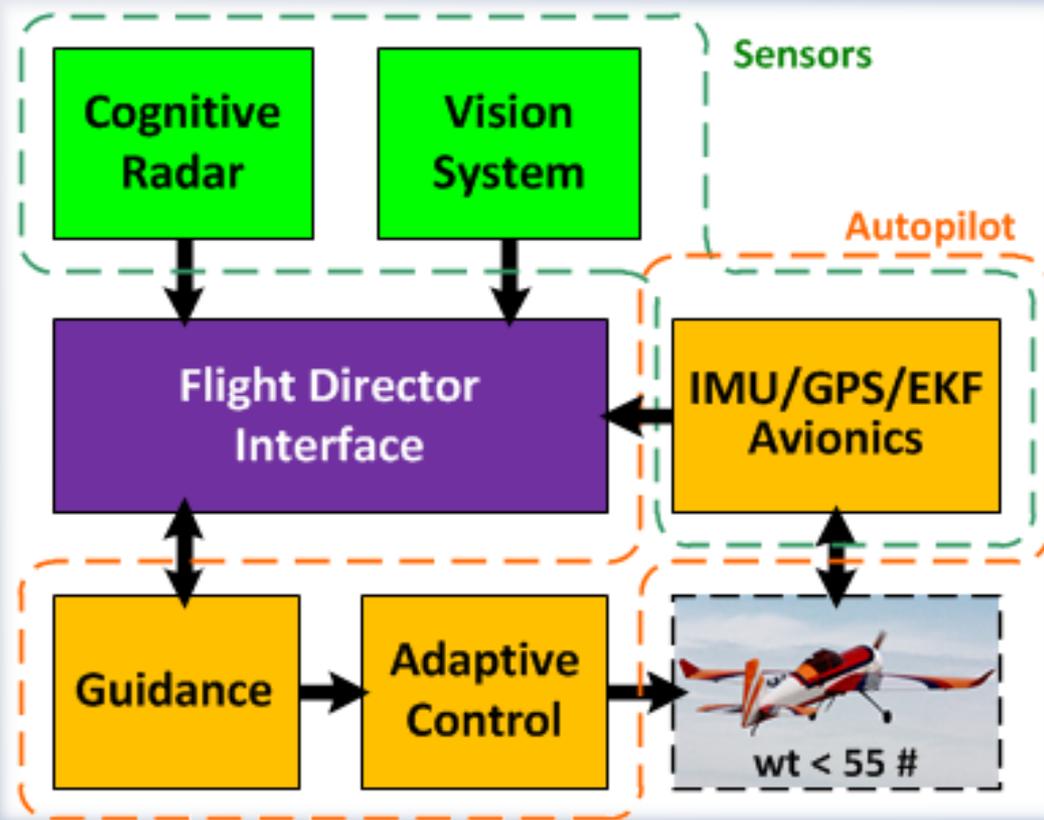
The innovation

NASA Aeronautics Research Institute

- Implementation – system level

Integrated system

- Flight director
 - Inputs: Cognitive radar
 - Inputs: Vision system
 - Inputs: IMU, GPS, avionics
 - Outputs: Guidance commands
- Autopilot
 - Inputs: Guidance commands
 - Outputs: Servo controls
- TK1 multicore processor
 - Inputs: IMU, GPS, EKF data
 - Inputs: radar data
 - Inputs: vision image data
 - Outputs: guidance commands





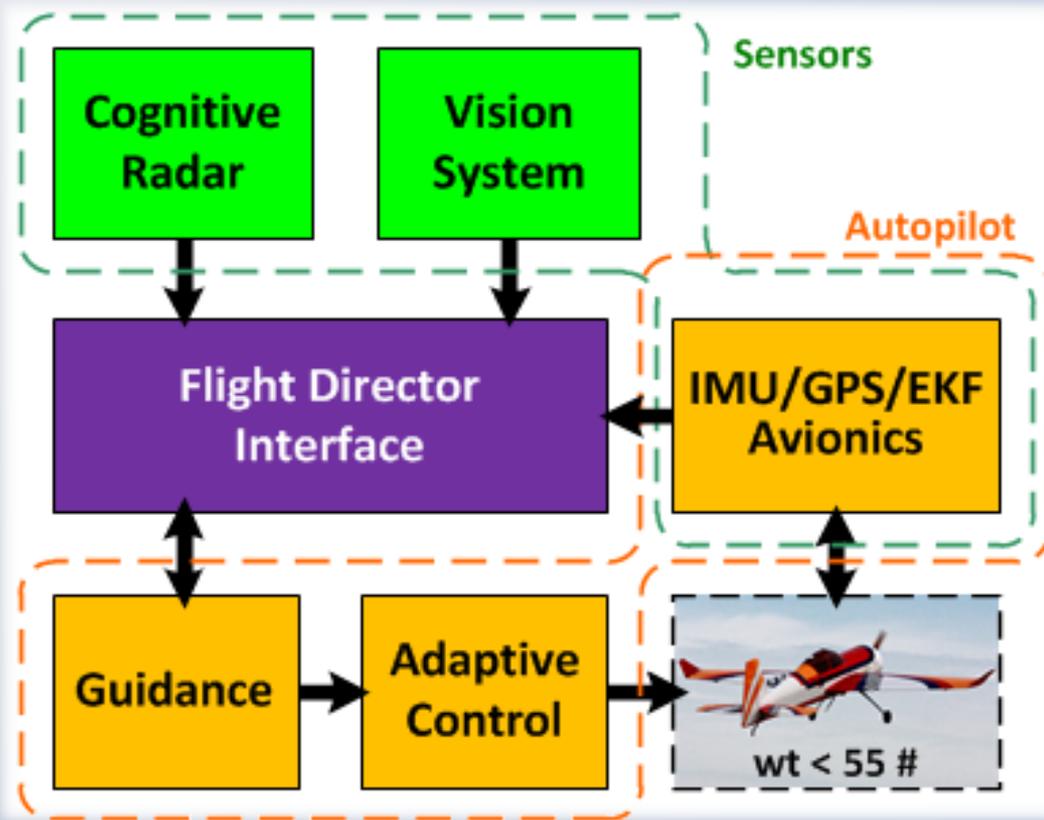
The innovation

NASA Aeronautics Research Institute

- Implementation – system level

Subsystems

- Cognitive radar
remotely senses nearby objects
reports their positions, closing rates
- Vision system
images region of radar-detected object
reports size of object
- Flight director & autopilot
outputs safe trajectory using
UAV knowledge, radar and vision data
- TK1 multicore processor
on-board, real-time execution of
flight director, autopilot, vision
image proc., radar data processing





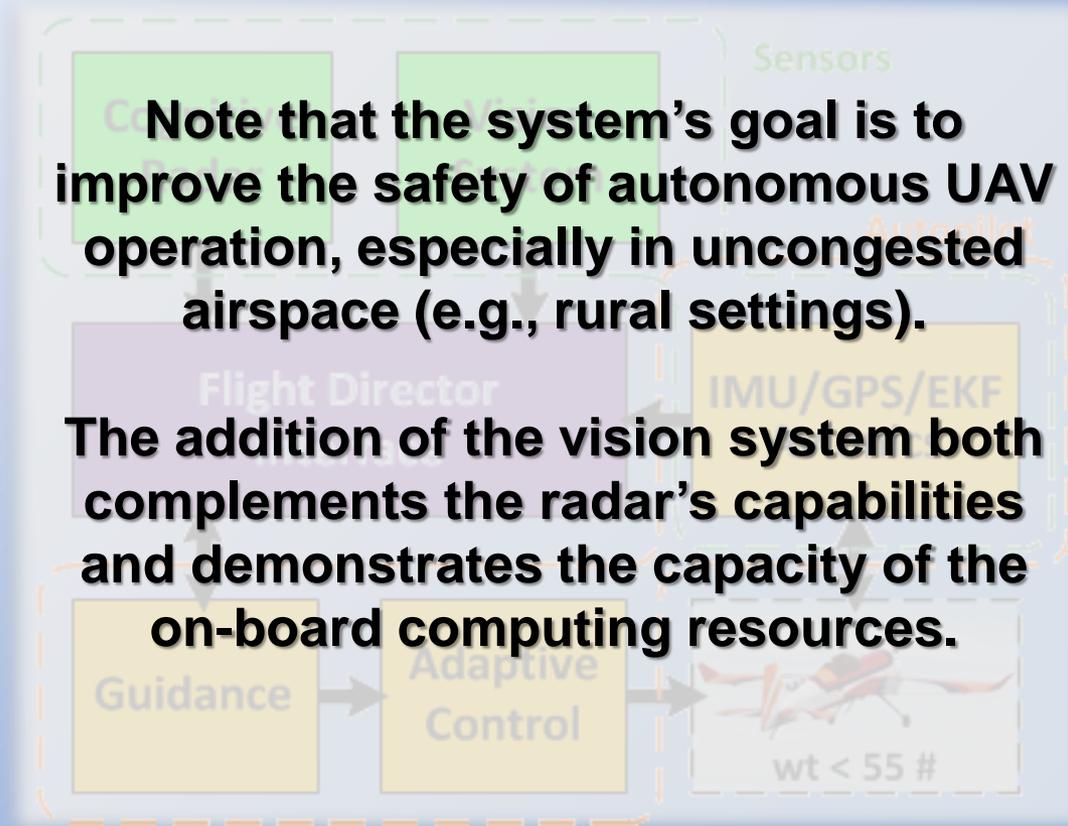
The innovation

NASA Aeronautics Research Institute

- Implementation – system level

Subsystems

- Cognitive radar
 - remotely senses nearby objects
 - reports their positions, closing rates
- Vision system
 - images region of radar-detected object
 - reports size of object
- Flight director & autopilot
 - outputs safe trajectory using UAV knowledge, radar and vision data
- TK1 multicore processor
 - on-board, real-time execution of flight director, autopilot, vision image proc., radar data processing





Radar System

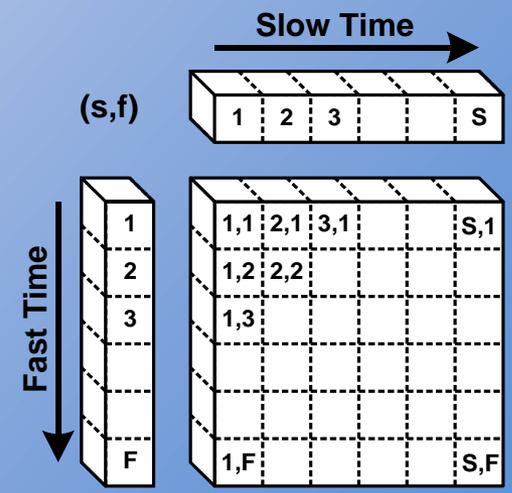
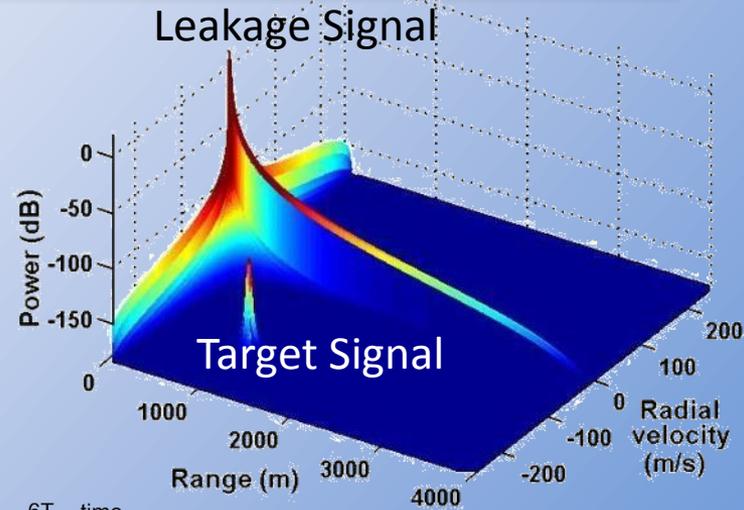
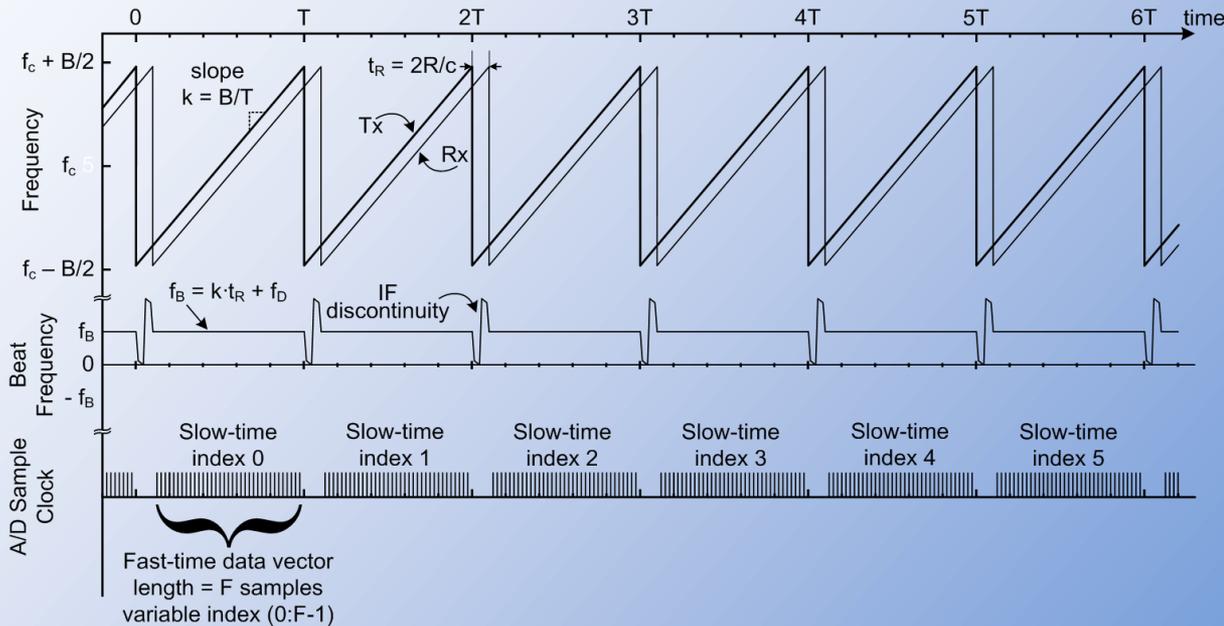


Radar – technical approach

NASA Aeronautics Research Institute

- Theory**

To overcome the challenge of strong Tx-Rx coupling, signals from targets near zero in both range and Doppler are ignored to permit reliable target detection. Signal processing involves 2-D fast Fourier transform (2-D FFT).

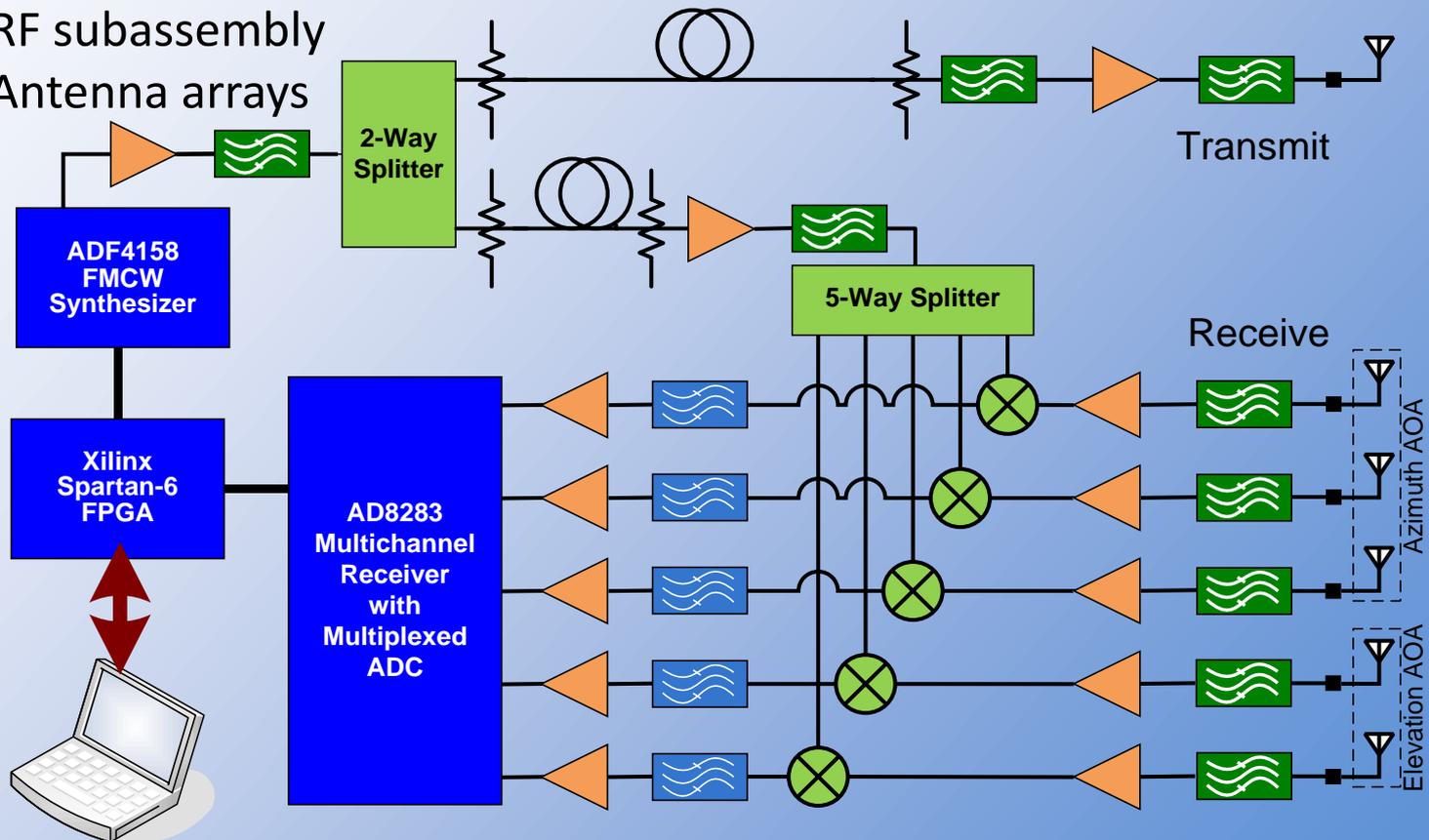




Radar – technical approach

NASA Aeronautics Research Institute

- Implementation – 1st-generation radar block diagram
 - Radar-ready ICs: FMCW synthesizer & multichannel ADC
 - FPGA
 - RF subassembly
 - Antenna arrays





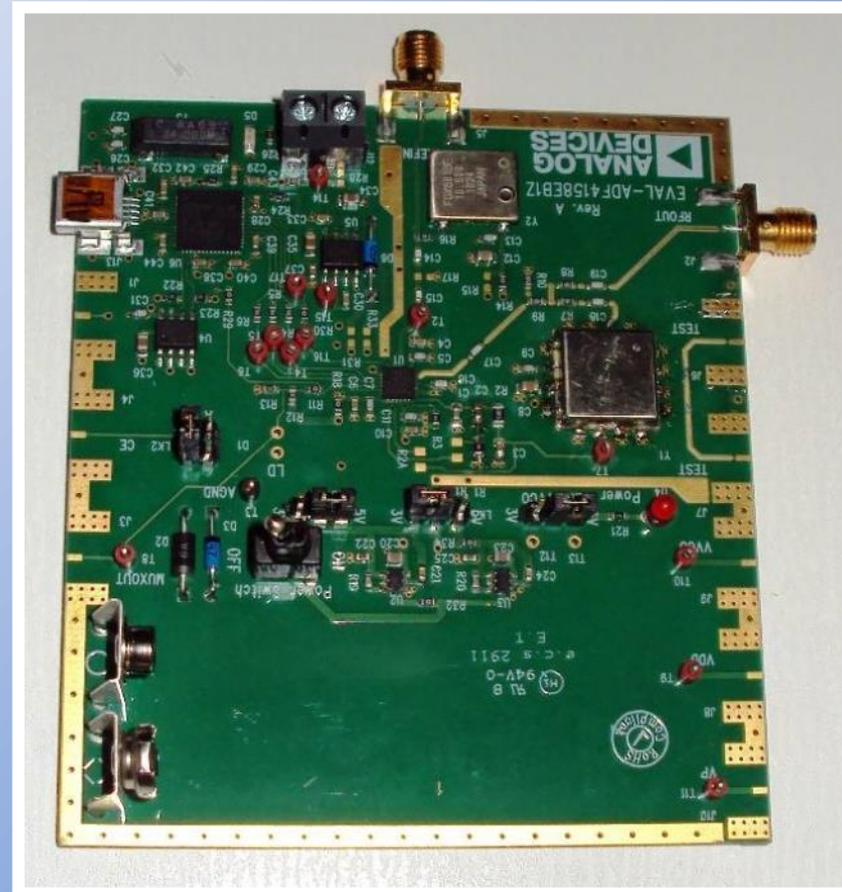
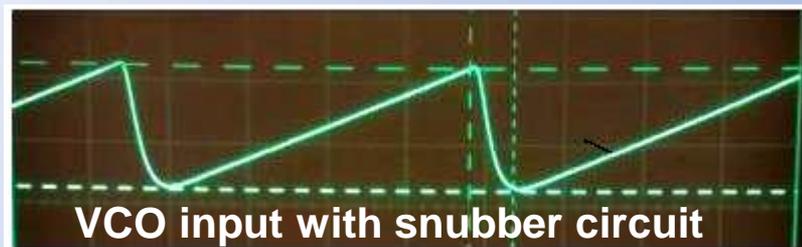
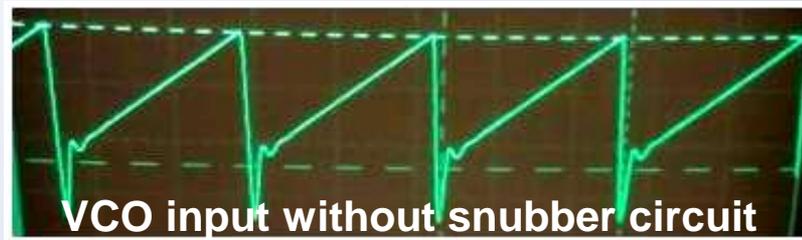
Radar – technical approach

NASA Aeronautics Research Institute

- Implementation – radar-ready subsystems
FMCW synthesizer

Analog Devices ADF4158

- FMCW signal generation
- Center frequency: 1.445 GHz
- Bandwidth: 15 MHz
- Modified evaluation board





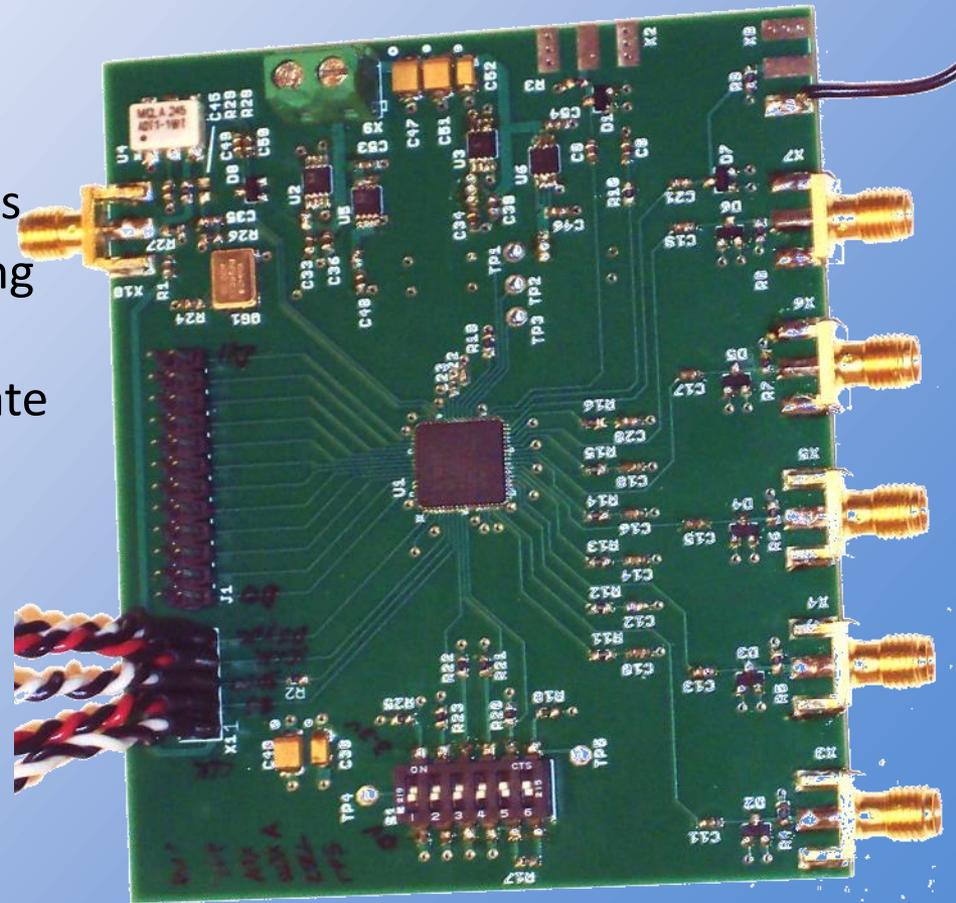
Radar – technical approach

NASA Aeronautics Research Institute

- Implementation – radar-ready subsystems
multichannel ADC with analog preprocessing

Analog Devices AD8283

- Custom PC board developed
- Up to 6 multiplexed analog channels
- Integrated analog signal conditioning via programmable LNA, PGA, AAF
- Operated at 4 MSa/s per channel rate

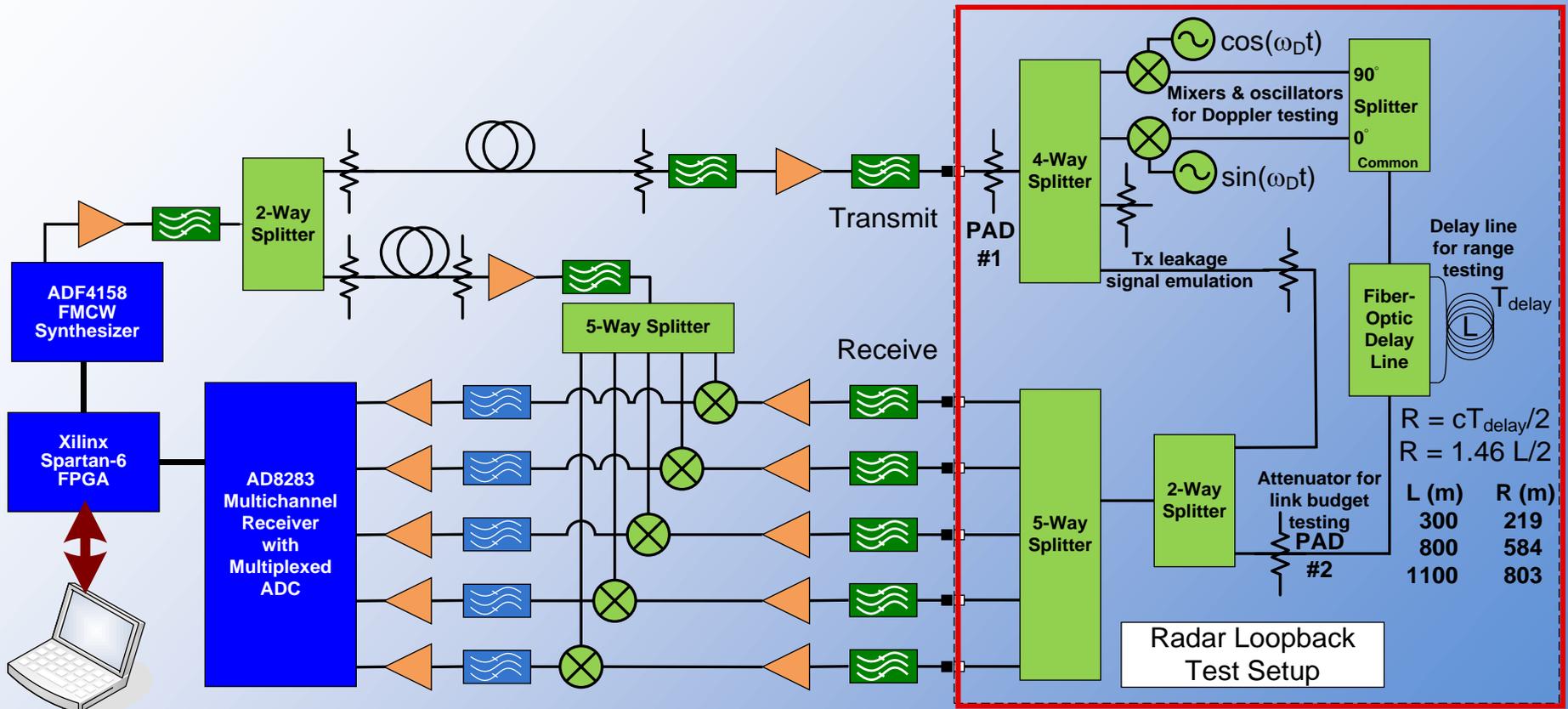




Radar – technical approach

NASA Aeronautics Research Institute

- Performance – lab testing setup (loopback)



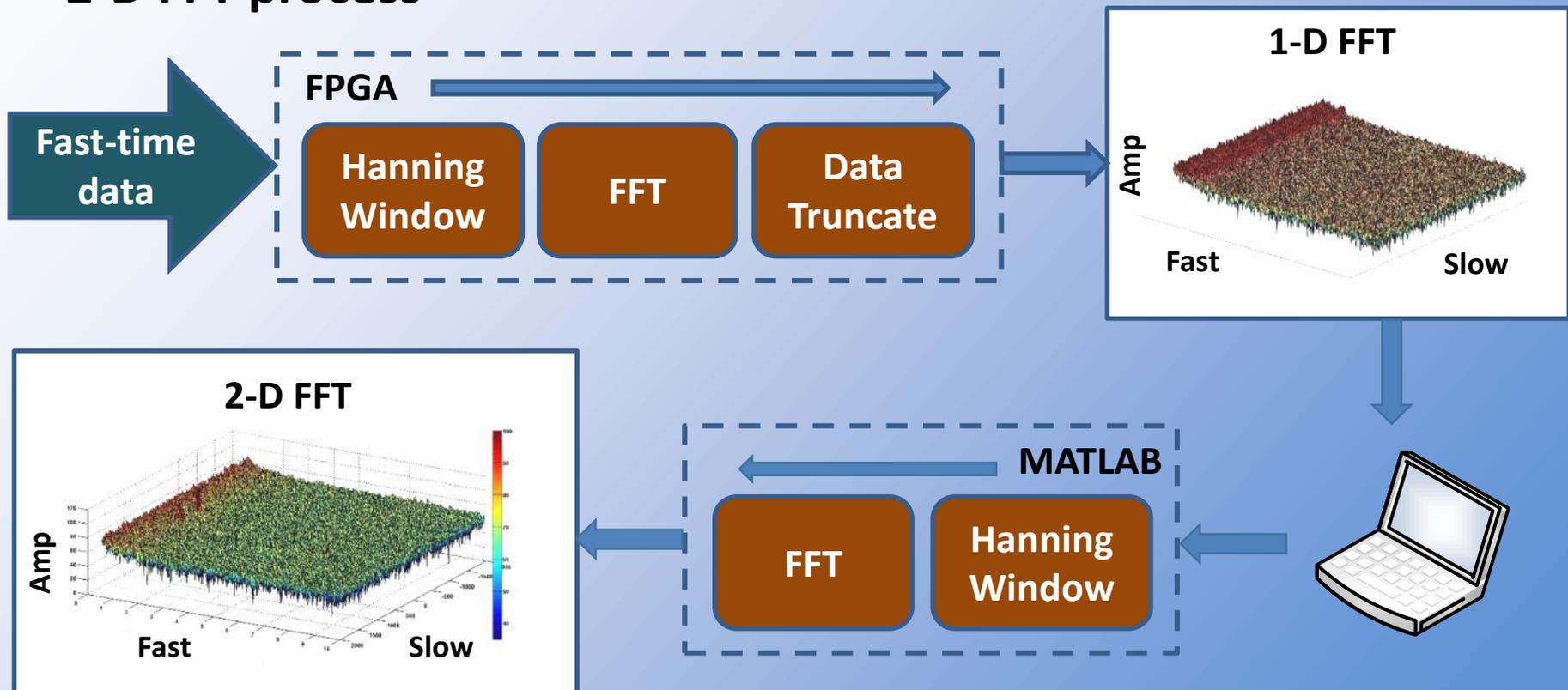


Radar – technical approach

NASA Aeronautics Research Institute

- Performance – FPGA processing description

2-D FFT process





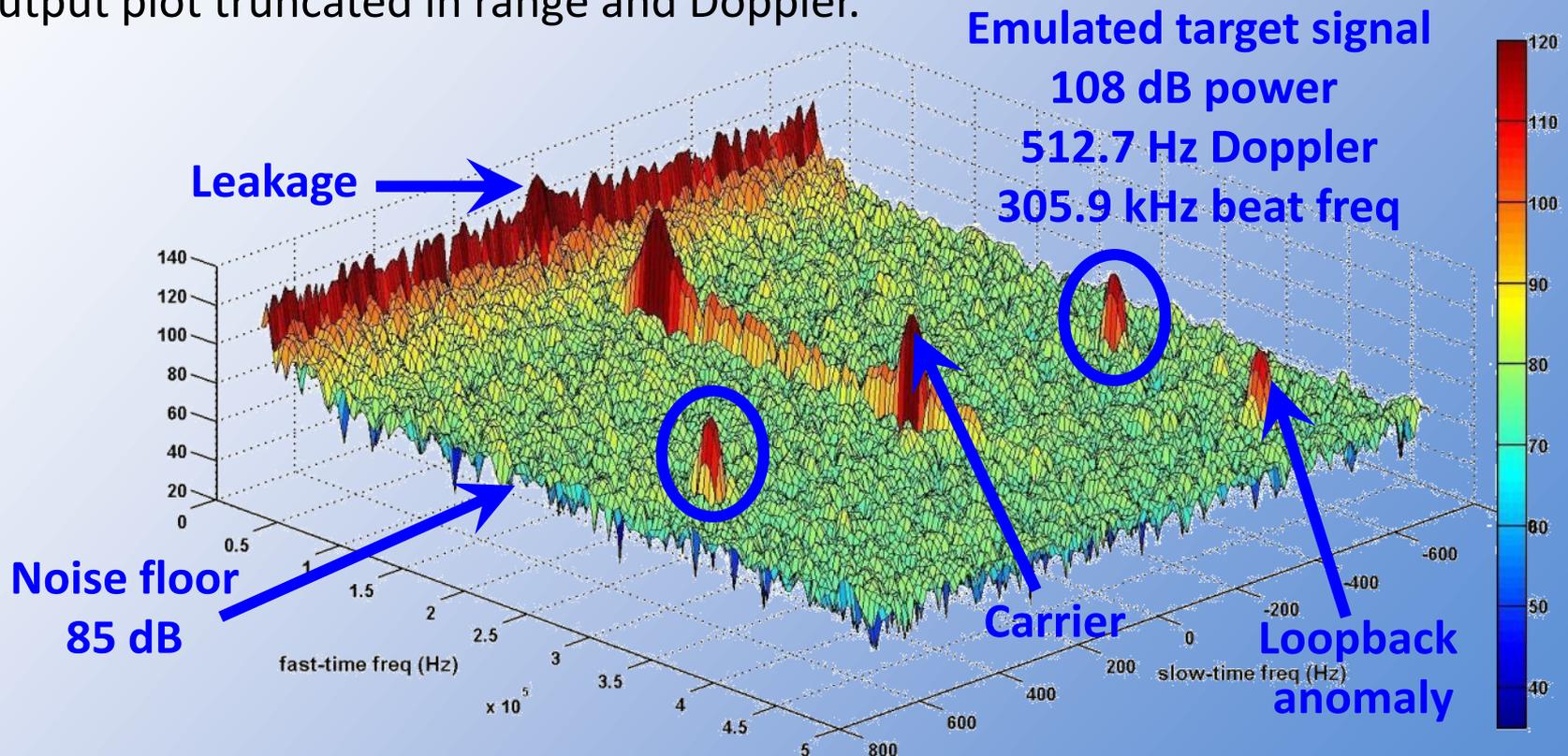
Radar – technical approach

NASA Aeronautics Research Institute

- Performance – FPGA processing example results

3-D graphic output

Loopback setup with leakage using 800-m fiber delay line (584 m range)
Input signal power -112 dBm, 500-Hz double-sideband modulation,
output plot truncated in range and Doppler.





Flight test results (22 Feb. 2014)

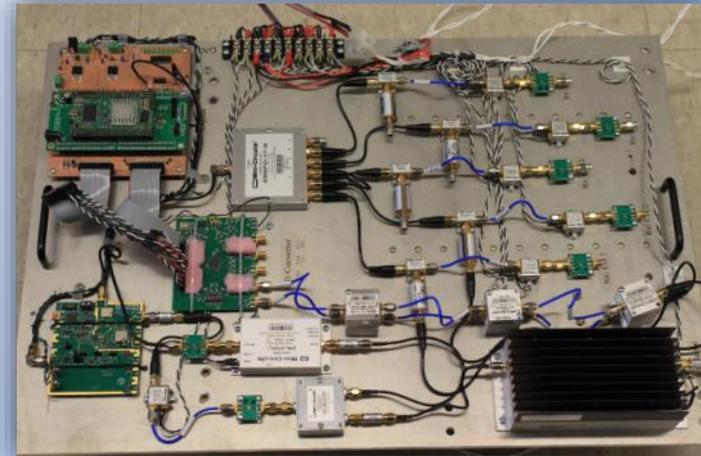
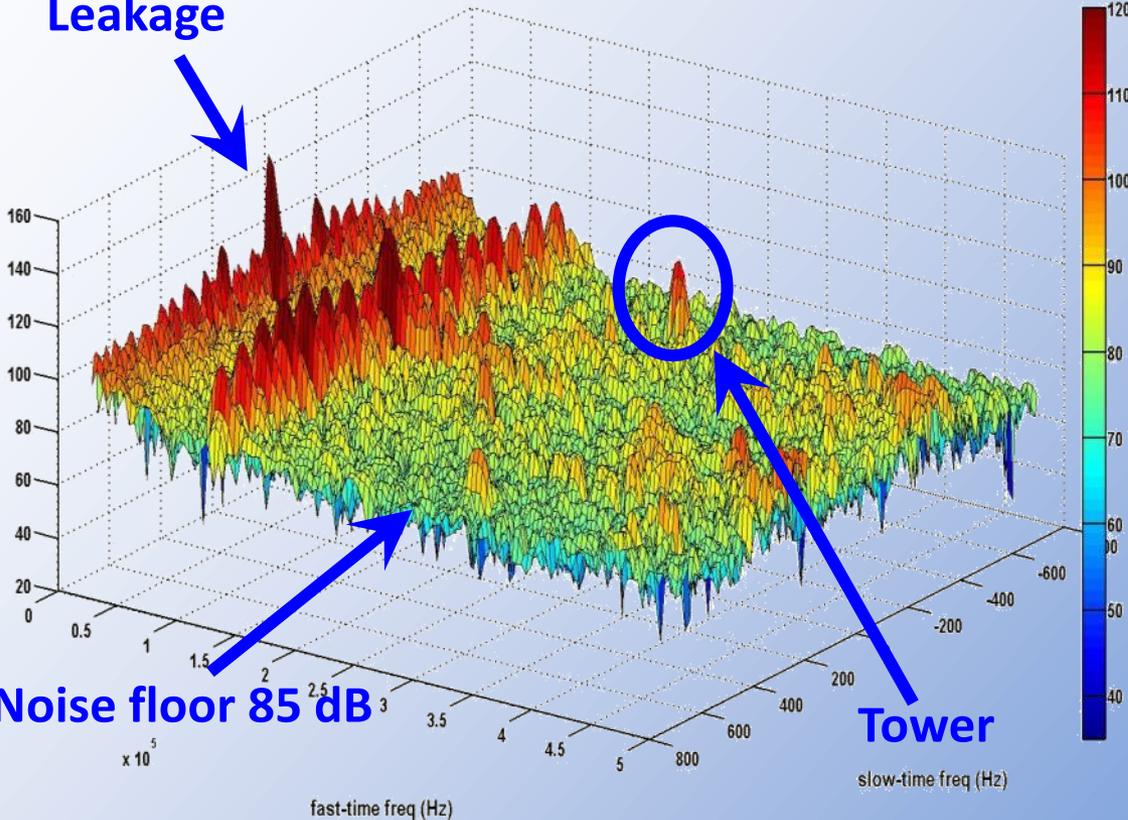
NASA Aeronautics Research Institute

- Flight on KU Cessna 172 experimental aircraft past a 300'-tall radio tower

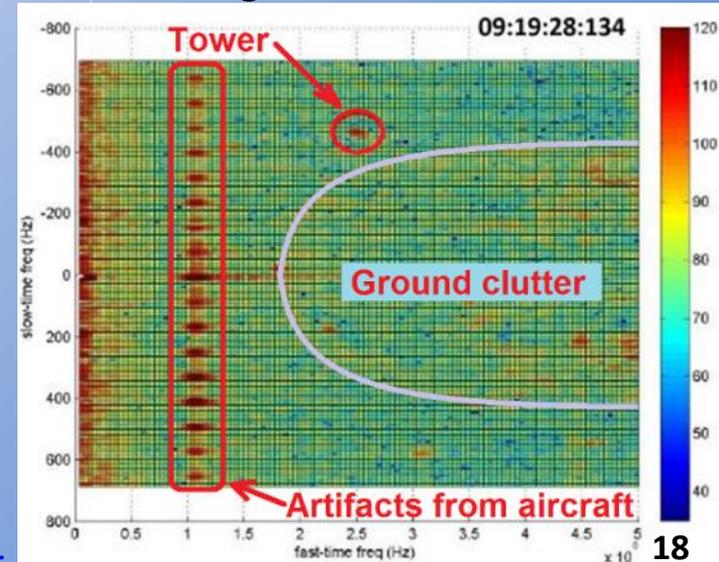
Measured results

Channel 1: Truncated 2-D FFT

Leakage



Proof-of-concept system using custom PCBs

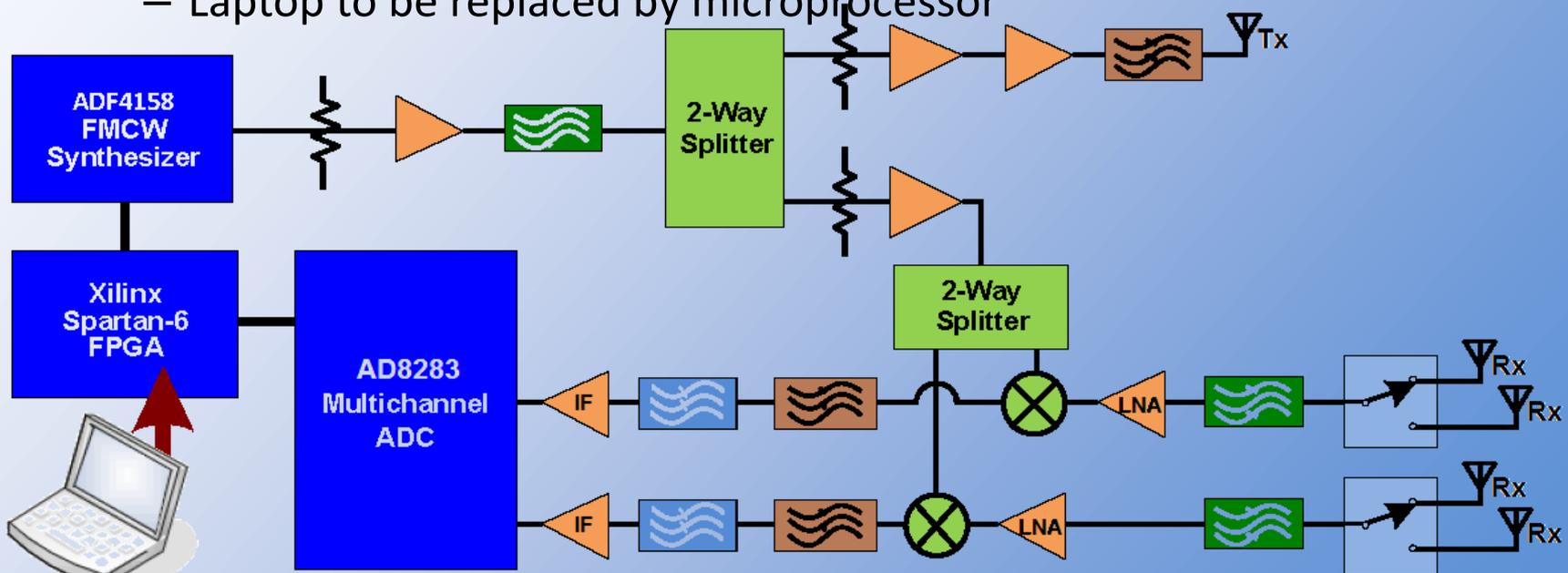




Radar – technical approach

NASA Aeronautics Research Institute

- Implementation – 1st-generation (miniaturized) radar block diagram (2.36 GHz operation)
 - Radar-ready ICs: FMCW synthesizer & multichannel ADC
 - FPGA
 - RF subassembly
 - Antenna arrays
 - Laptop to be replaced by microprocessor



August 16, 2016

NASA LEARN Technical Seminar



Radar – status

NASA Aeronautics Research Institute

- Miniaturized system implementation (1st generation)
Custom (in-house) designed RF (analog) board and digital board



Miniaturized RF front end assembly (Tx and Rx) (6.5" x 4", 3 oz.)

Miniaturized digital subsystem (6.5" x 4", 2.8 oz.) without the FPGA daughterboard

Six antenna array composed of two COTS antenna assemblies (7.5" tall x 3.4" diameter, 12.2 oz.)



	Proof-of-Concept System	Miniaturized System
Size	18.5" x 26" x 6"	6" x 4" x 0.5"
Weight	>50 lbs	0.36 lbs*
Power consumption	30 W	<10W
Center Freq.	1.445 GHz	2.36 GHz
Bandwidth	15 MHz	15 MHz
Transmit power	23 dBm	21 dBm
Observation time	100 ms	100 ms
Max detection range	430 m	860 m

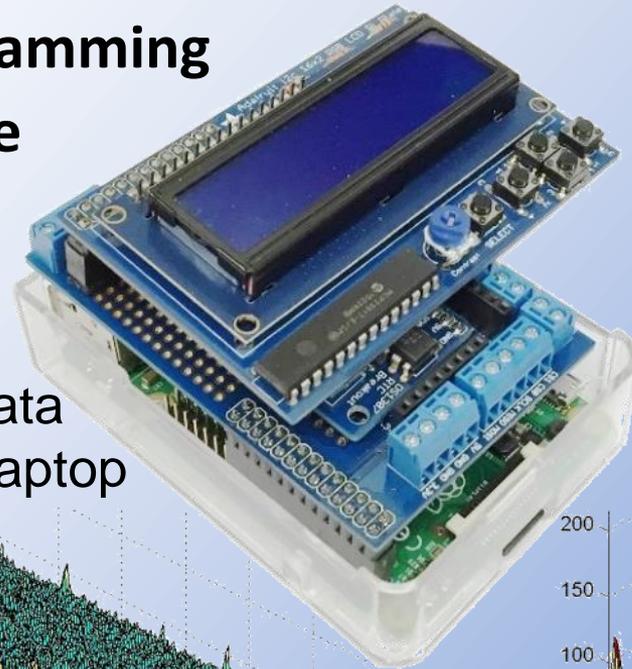
* Weight does not include antennas and battery



Radar – status

NASA Aeronautics Research Institute

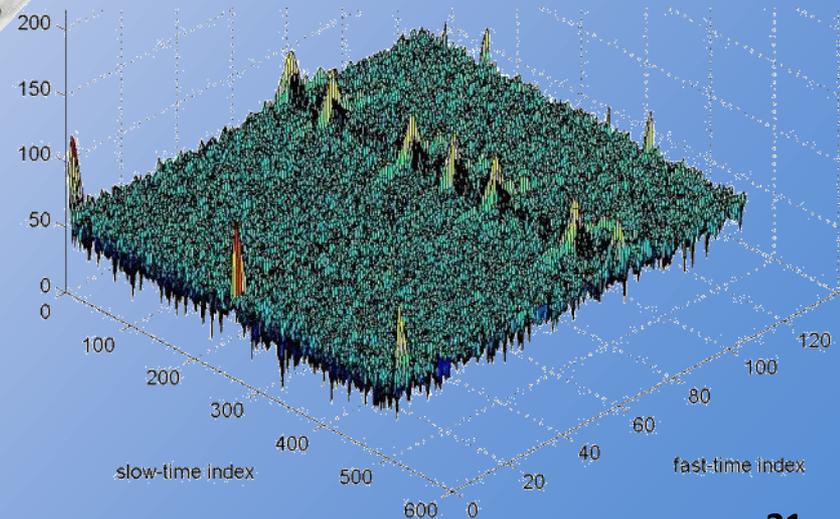
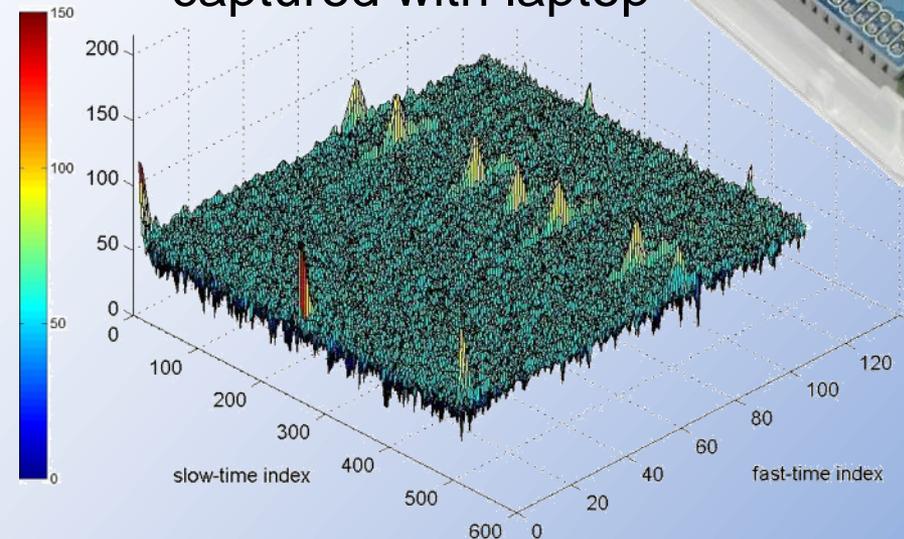
- Laptop replaced by Raspberry PI for UAV flight testing
 - Radar programming
 - Data capture



This effort was accomplished under POC and NASA SBIR

Loopback data captured with laptop

Loopback data captured with Raspberry PI





Radar – status

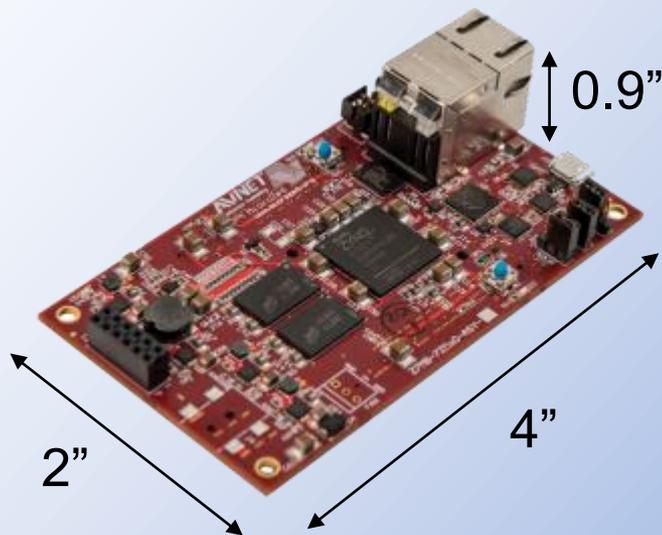
NASA Aeronautics Research Institute

Board: MicroZed (industrial version)

FPGA: Xilinx Zynq 7Z020

Memory: 1 GB, DDR3

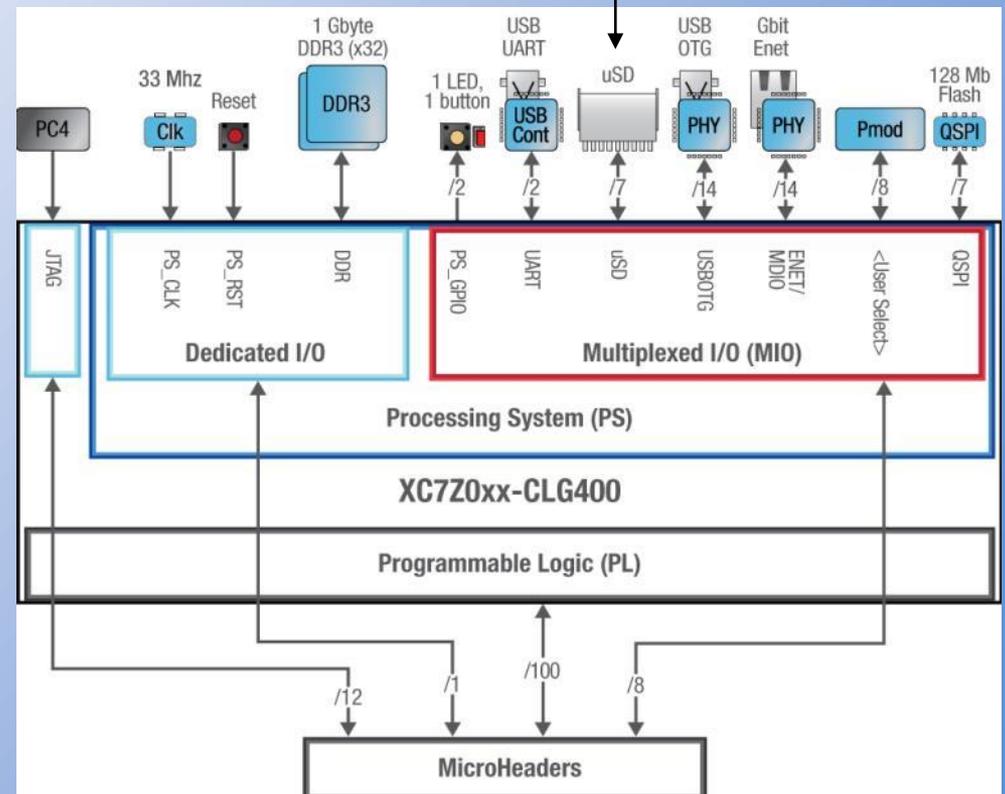
Previous FPGA: Ztec Spartan 6 (obsolete)



2-D FFT
Memory
Space

External
Storage

Communication
To Tegra K1





Radar – status

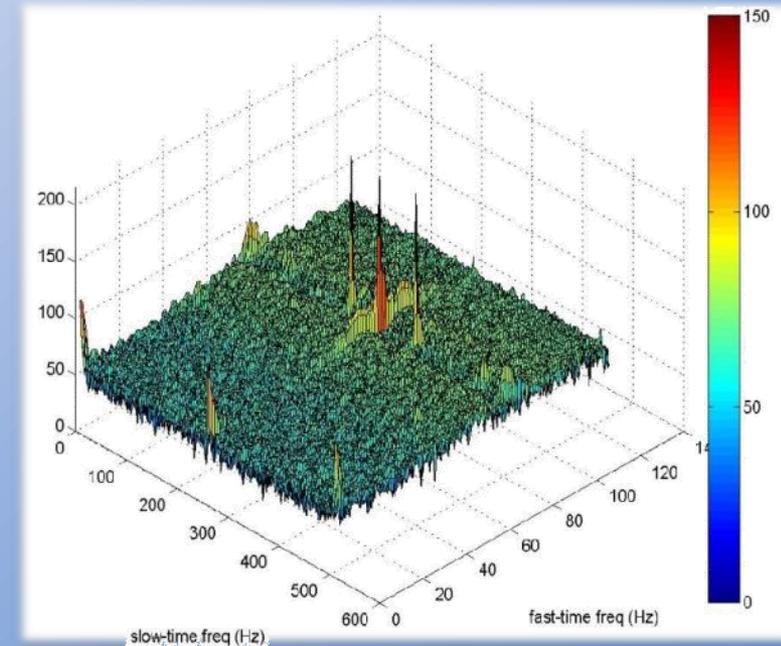
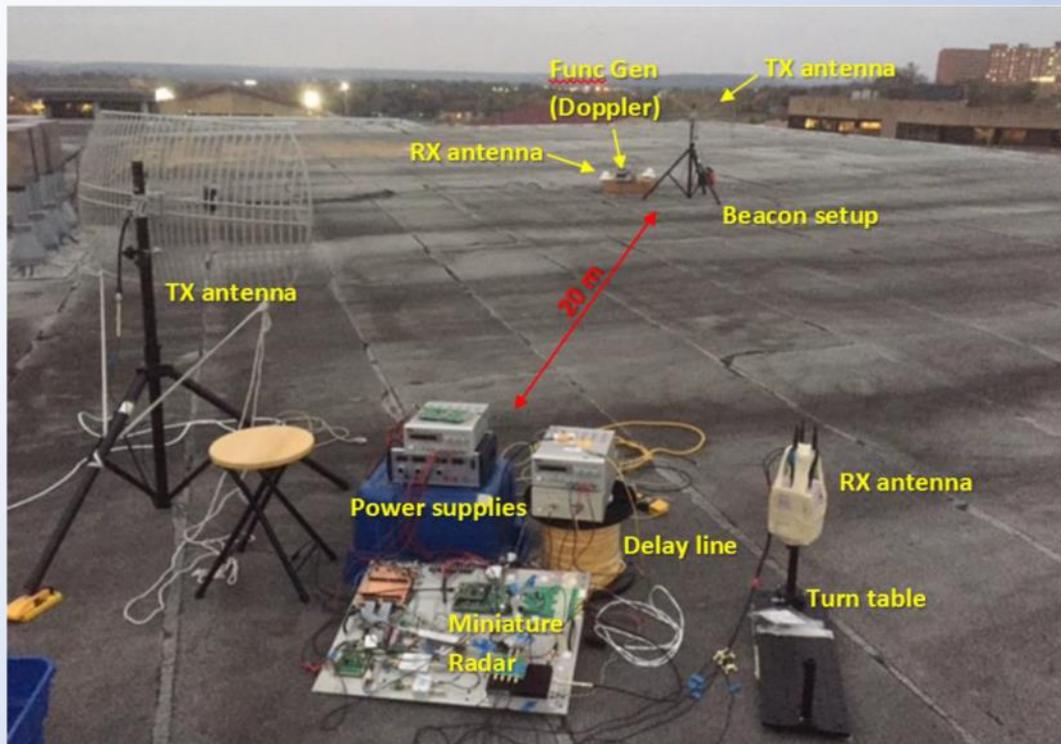
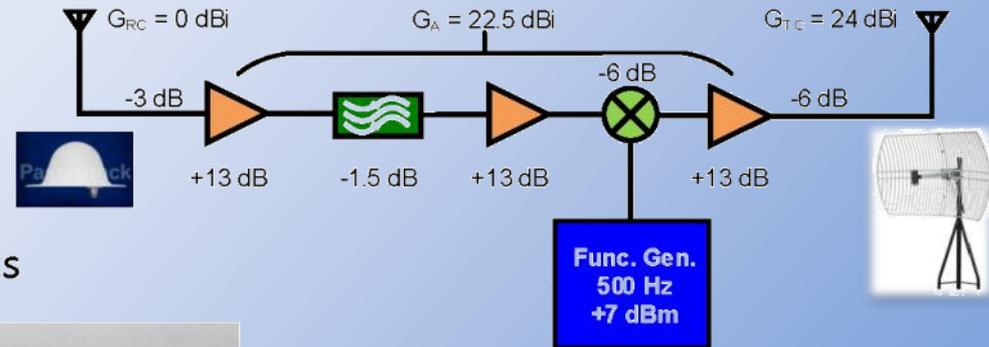
NASA Aeronautics Research Institute

Angle of Arrival Calibration Target

Target size: 17.5 dBsm

Data gathered:

- 360° azimuth in 10° increments
- ±60° elevation in 10° to 5° increments





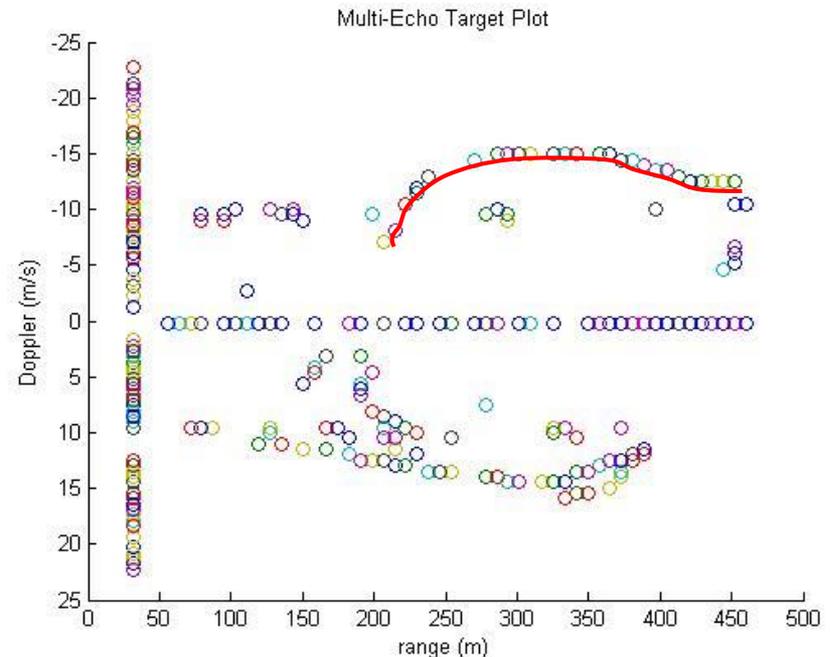
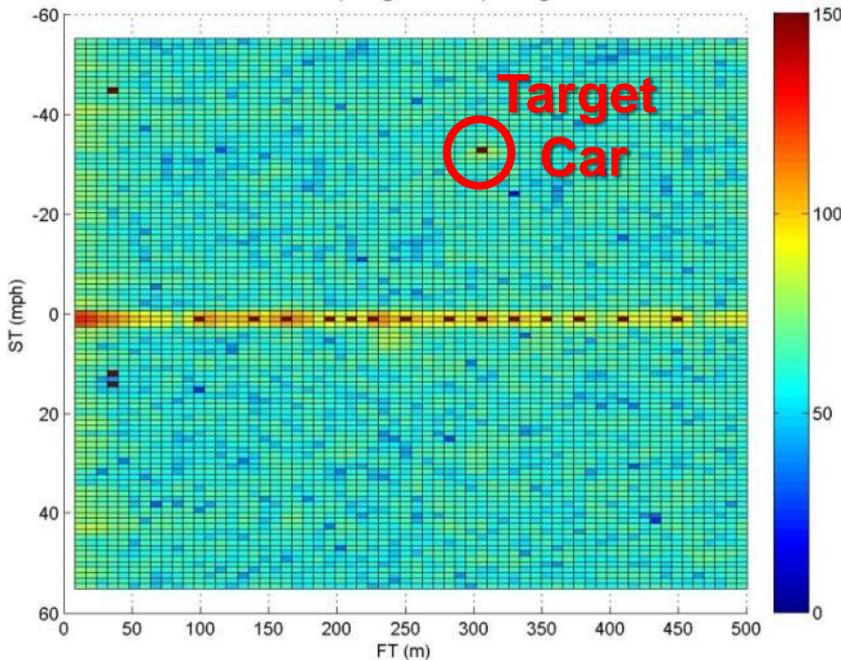
Radar – status

NASA Aeronautics Research Institute

- Ground-based testing

Rooftop data collection to validate range, Doppler, Az/EI angle measurements

1. Photo of approaching car
2. Radar image showing target detection of the car in range and Doppler
3. detected targets from consecutive radar updates showing approaching car outlined in red.





Radar – status

NASA Aeronautics Research Institute

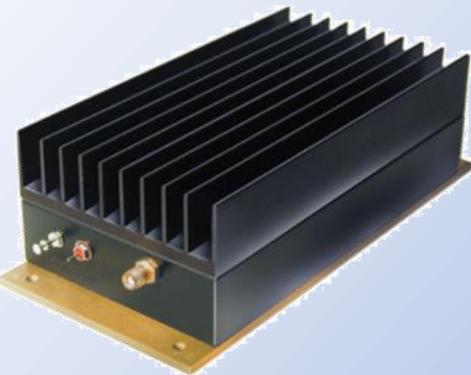
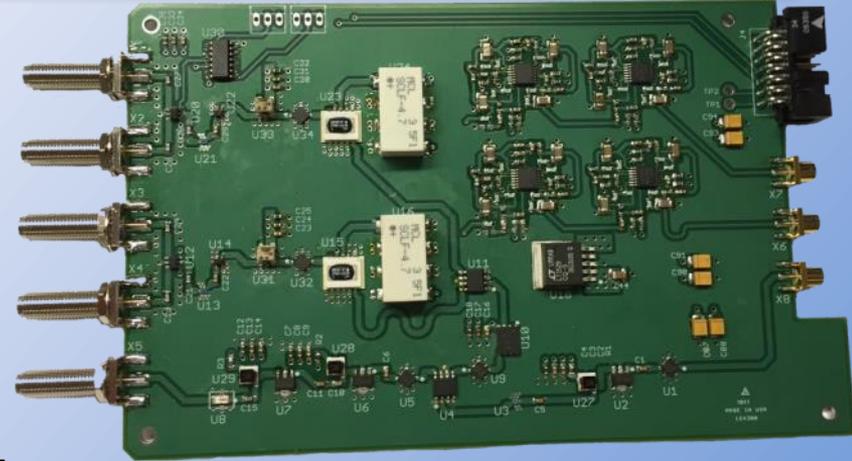
- 2nd-generation hardware

Operating frequency changed to 2.45-GHz ISM band

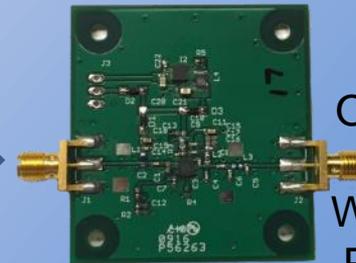
Miniaturized RF board developed

Digital board (FPGA, DDS, ADC) is next

Miniature transmit power amplifier developed



Gain: 30 dB
Output: 28 dBm
Size: 7" x 3.3" x 2"
Weight: 28.4 oz.
Power: 13 W



Gain: 33 dB
Output: 28.1 dBm
Size: 1.8" x 2" x 0.3"
Weight: 0.5 oz.
Power: 3 W



Radar – status

NASA Aeronautics Research Institute

- Cognitive frequency selection
 - 2.45-GHz ISM band operation (relaxed FCC licensing)
 - Anticipated EMI due to Wi-Fi and other users
 - Radar will sense ambient EMI and adapt center frequency operation to maximize SNR

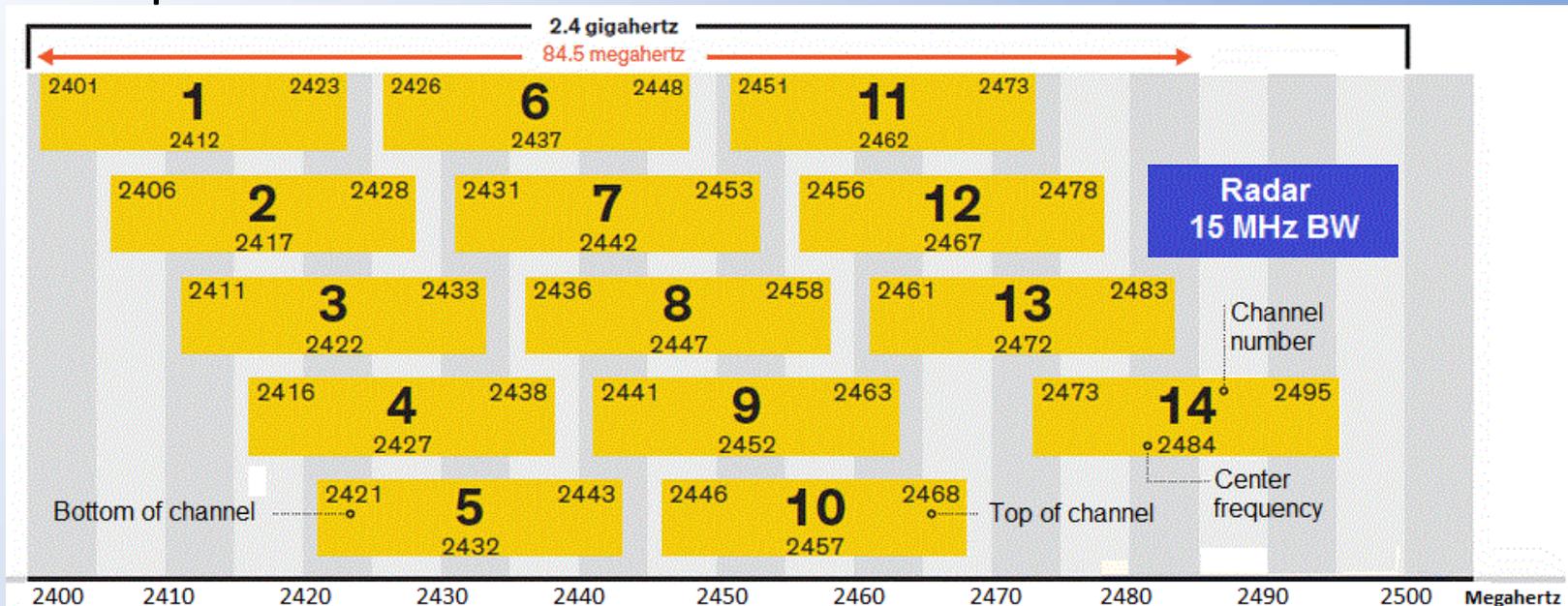


Chart showing radar within 2.4-GHz Wi-Fi spectrum adapted from IEEE Spectrum, July 2016



Vision System



Vision – technical approach

NASA Aeronautics Research Institute

- Implementation

- Hardware

- Grasshopper USB3 vision camera from Point Grey
 - Grasshopper3 GS3-U3-32S4M
 - 44 mm x 29 mm x 58 mm / 90 g
- Tamron lens
 - M118FM06 Tamron C-mount
 - 6-mm compact fixed-focal-length
 - system field of view is about 50° by 40°
 - (\emptyset x L) 29 x 44.48 mm / 90 g





Vision – status

NASA Aeronautics Research Institute

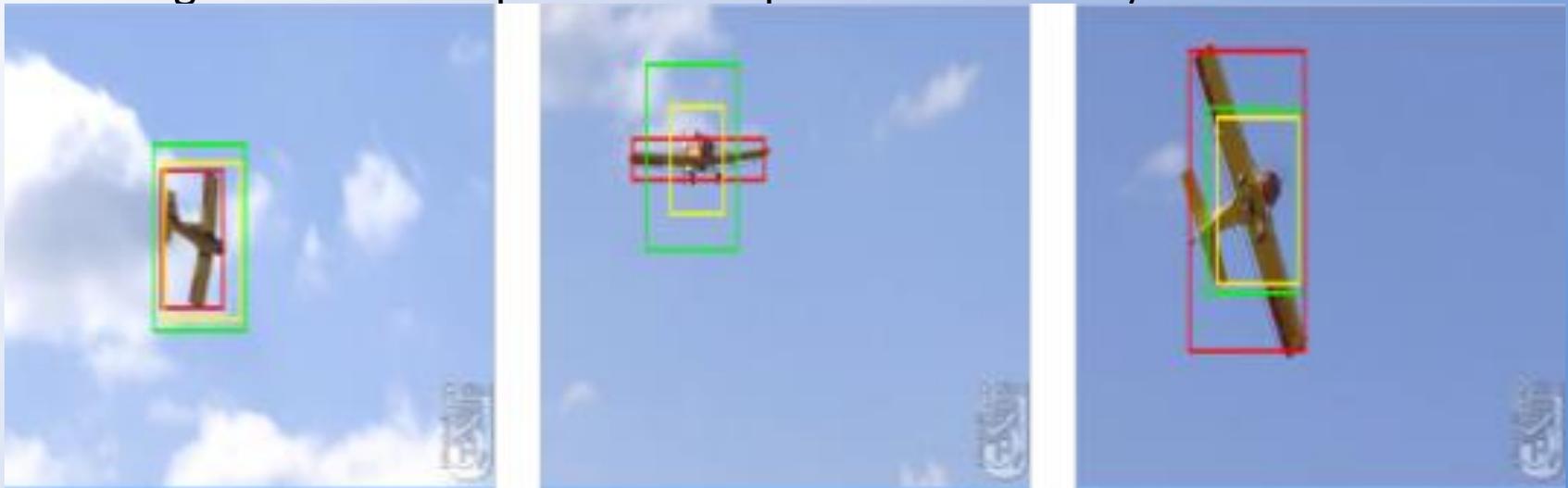
- Accomplishments

- **Vision system**

- Algorithm for target tracking in dev / testing using recorded images

- **Nvidia Tegra TK1 multicore processor**

- Real-time processing of vision algorithms demonstrated in lab
- Algorithm on TK1 platform can process 60 frames/sec



Red box shows our algorithm results; yellow and green represent published techniques – our approach runs faster and requires no training



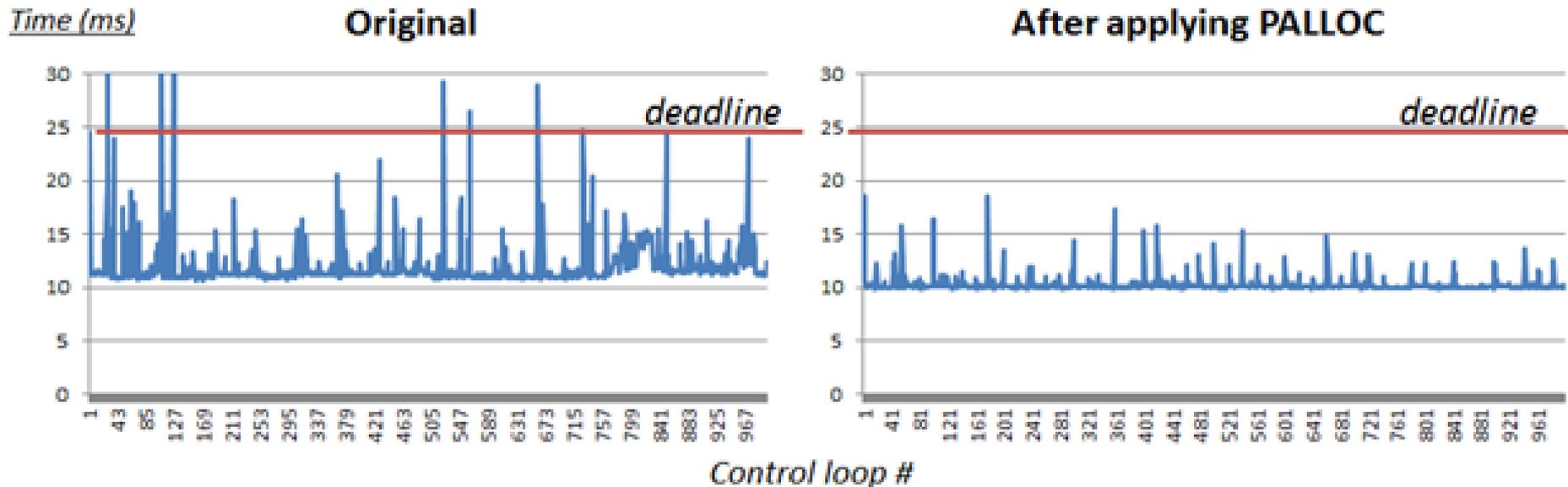
Flight Director & Autopilot System Hardware and Algorithms



TK1 – technical approach

NASA Aeronautics Research Institute

- Nvidia Tegra TK1 multicore processor
 - Real-time processing of vision algorithms demo'd in lab
 - Real-time processing of radar signals demo'd in lab
 - Memory allocator (partitioning) PALLOC shown to improve execution times.
Our previously developed kernel level memory allocator PALLOC supports advanced resource (cache) partitioning capability.



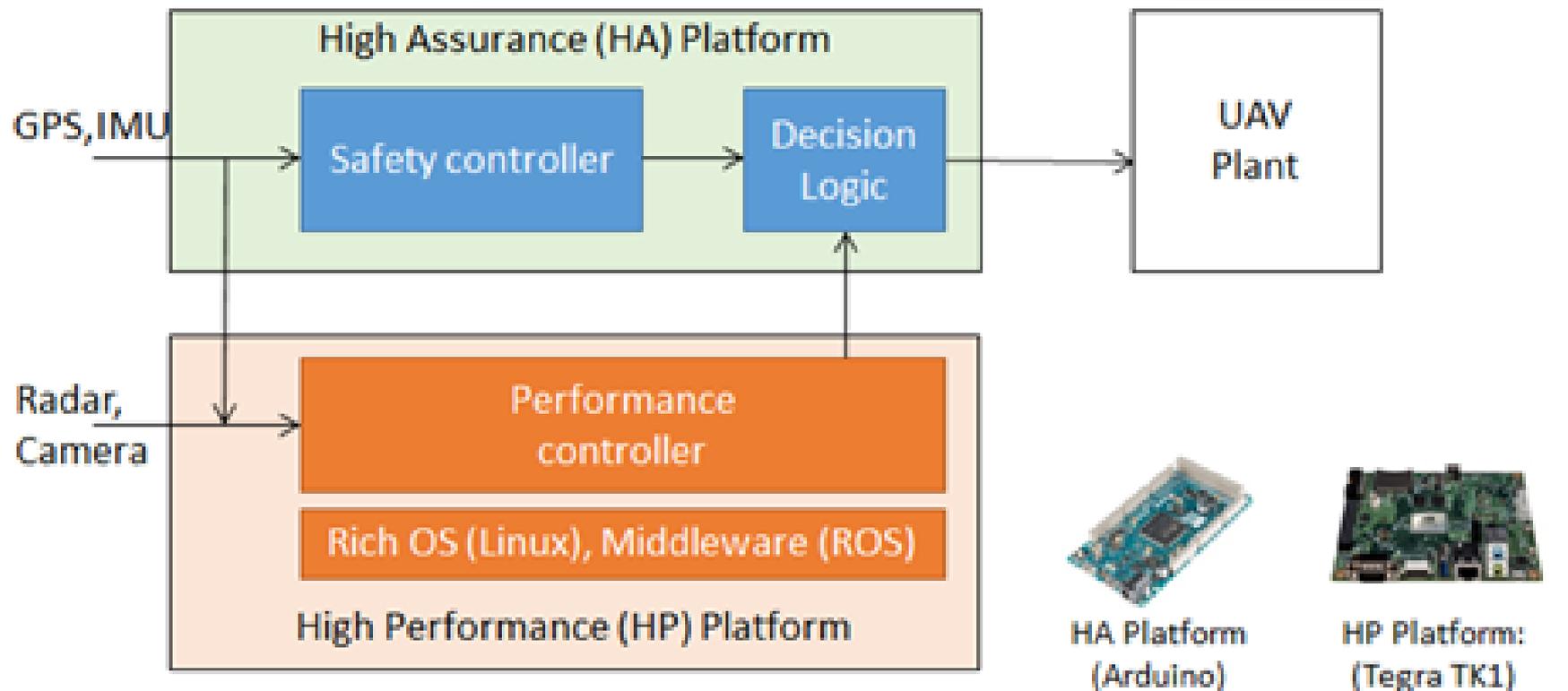
Execution time variations of the flight controller before and after applying PALLOC.



TK1 – technical approach

NASA Aeronautics Research Institute

- Nvidia Tegra TK1 multicore processor
 - Radar and Vision algorithms integrated using the TK1's GPU cores
 - Simplex, fault-tolerant architecture to improve dependability





Flt dir – technical approach

NASA Aeronautics Research Institute

- Accomplishments – integration of autopilot and flight director with multicore processor
 - A 5" x 5" PC board developed that provides aircraft interfaces with the Tegra K1 multicore processor.
 - Autopilot and flight dir. running on Tegra cores with partitioned memory.
 - Assembly has been lab tested and installed on two UAVs.



← 33% Yak-54 fixed-wing aircraft
DG-808 fixed-wing glider →





Flight Systems



Flt testing – technical approach

NASA Aeronautics Research Institute

- Platforms/Flight Director/Autopilot

- > 50 sUAV flight tests conducted for validation and verification, including 7 autonomous using in-house algorithms and hardware
- FAA issued blanket COA for small UAS (< 55#)
- Three UAS platforms have been designed, manufactured, instrumented; thus far two have been flight tested
- Our AE team has, for the first time, captured in real-time the system I.D. (e.g., aircraft flight coefficients) of a UAS platform (DG808) for another NASA team



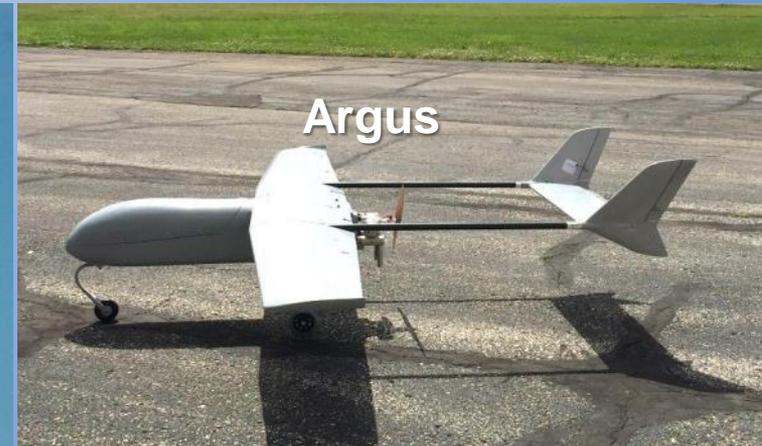
DG808



40% Yak-54



Argus





Status of the LEARN effort to date

NASA Aeronautics Research Institute

Publications

- Garcia G; Keshmiri S; “Adaptive and Resilient Flight Control System for a Small Unmanned Aerial System,” *International Journal of Aerospace Engineering*, Volume 2013, Article ID 289357, 25 pages, 2013.
- Shi L; Allen C; Ewing M; Keshmiri S; Zakharov M; Florencio F; Niakan N; Knight R; “Multichannel sense-and-avoid radar for small UAVs,” 32nd Digital Avionics Systems Conference (DASC), Syracuse, NY, Oct 6-10, 2013.
- Valsan PK; Yun H; Farshchi F; “Taming Non-blocking Caches to Improve Isolation in Multicore Real-Time Systems,” *IEEE Intl. Conference on Real-Time and Embedded Technology and Applications Symposium (RTAS)*, 2016 (selected as one of the Outstanding Papers)



Status of the LEARN effort to date

NASA Aeronautics Research Institute

Publications

- Pellizzoni R; Yun H; “Memory Servers for Multicore Systems,” *IEEE Intl. Conference on Real-Time and Embedded Technology and Applications Symposium (RTAS)*, 2016
- Vivekanandan P; Garcia G; Yun H; Keshmiri S; “A Simplex Architecture for Intelligent and Safe Unmanned Aerial Vehicles,” *IEEE International Conference on Embedded and Real-Time Computing Systems and Applications (RTCSA)*, August 2016
- Wang G; Wu Y; “Real-Time Visual Tracking: Promoting the Robustness of Correlation Filter Learning” *European Conference on Computer Vision (ECCV)*, October 2016



Status of the LEARN effort to date

NASA Aeronautics Research Institute

Schedule status (Q2/Q3 milestones) [12-mo no-cost extension granted]

- fabrication and characterization of revised miniaturized RF assembly
RF board being characterized, digital board in layout
- fabrication and testing of vision system utilizing portion of multicore processor
Complete: Algorithm refinement continues
- laboratory validation of radar system with flight director
Delayed: Interfaces tested, integration awaiting new radar HW
- laboratory validation of vision system with flight director
On track: Vision algo refinement to improve performance on low-contrast targets
- integration of system hardware on manned aircraft incl. interfacing aircraft data system
Delayed: pending lab testing/integ of new radar HW



Next steps

NASA Aeronautics Research Institute

- ***Radar system***
Complete assembly of new miniaturized radar
Airborne validation on surrogate manned aircraft
- ***Flight director / multicore processor***
Continue refining autopilot/flt director algorithms with
customized dynamic model
Integration testing with radar and vision sys
- ***Vision system***
Continue collection of in-flight video from UAV tests flights
Refine algorithm development using flight data
- ***Validation / verification***
Continue UAS flight tests and optimize control algorithms



Next steps

NASA Aeronautics Research Institute

- ***Radar system***
 - Radar freq migration to 2.4-2.5 GHz ISM band
 - Cognitive freq selection within ISM band
 - Board redesign of miniaturized RF subsystem
- ***Flight director / multicore processor***
 - Flight test of integrated autopilot/flight director *
 - Miniaturization of custom PC board
- ***Vision system***
 - Collection of in-flight video from UAV tests flights
 - Algorithm development using flight data
- ***Validation / verification***
 - Prep for system integration on manned aircraft

* *Will be first ever flight test of NMPC algorithm*



Backup slides



Radar – status

NASA Aeronautics Research Institute

A variety of programs have supported development of the Multichannel Sense-and-Avoid Radar for Small UAVs, each with their own objectives. While objectives may overlap, these are not duplicative as the differing technical approaches are pursued.

Technical Objectives	LEARN II	POC	KBOR	NASA SBIR
shift to 2.45-GHz ISM band	●			●
cognitive frequency selection	●			●
range-dep sensitivity vs latency	●			
integrate with flight director	●	●		
detach from laptop		●		
new FPGA		●		
onboard data storage		●		
increased Tx power		●		
upgraded test capabilities		●		
integrate with microprocessor		●		
hardware consolidation			●	●
increased data throughput			●	
real-time radar processing			●	
radar flight testing	●		●	
random phase encoding				●